Integrating Dual Error Propagation into Dynamic Event Trees to Support Fission Battery Probabilistic Risk Assessments

#### Arjun Earthperson

Department of Nuclear Engineering North Carolina State University Raleigh, NC

#### **Masters Defense**

Committee Members: Dr. Mihai A. Diaconeasa, Dr. Nam Dinh, Mr. Steven R. Prescott, Dr. Ge Yang



# Biography

 Graduated from University of California, Los Angeles (UCLA) in 2017 with a BS in electrical engineering.

- · 2018 2020:
  - Developed PRA tools at The B. John Garrick Institute for the Risk Sciences, UCLA

# Funding

 INL LDRD - "Quantitative Reliability Analysis for Unattended Operation of Fission Batteries"

 Nuclear Reactor Sustainment and Expanded Deployment - Fission Battery Initiative

 Objective - Develop R&D methods for enabling risk and reliability modeling of autonomous control systems and adversarial human actions for fission batteries.

## Outline

- Introduction
  - Fission Batteries
  - Need for Dynamic PRA
- Methodology
  - The Dual Error Propagation Method
- Case Study
  - System & Scenario
  - Three Modeling Variations

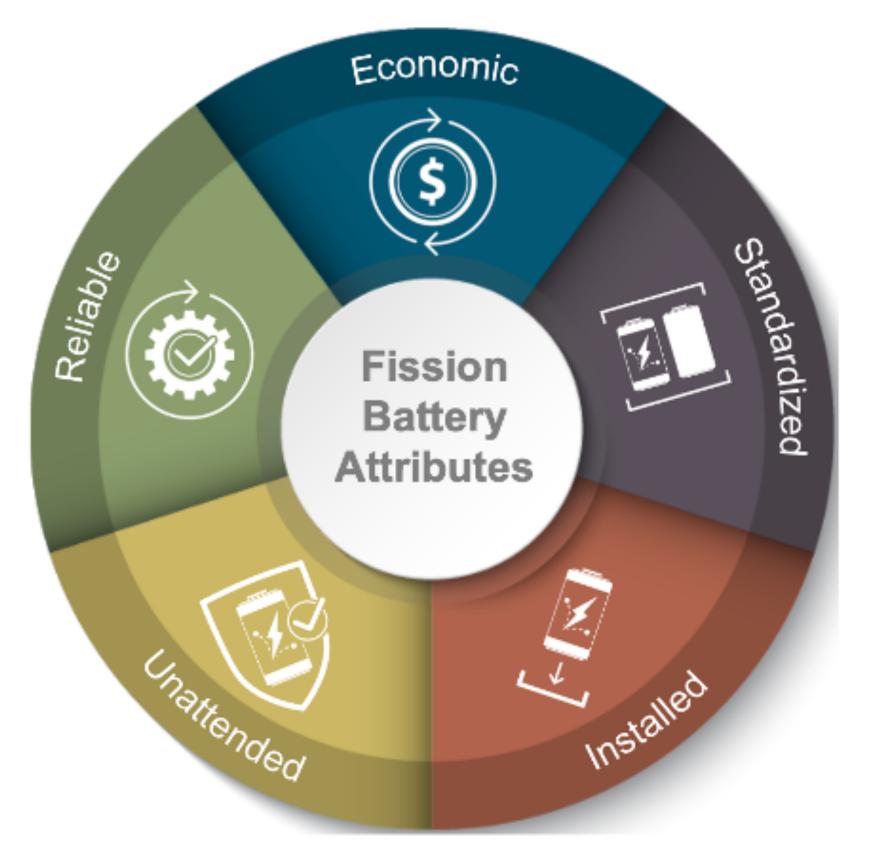
- Results & Discussion
  - Dynamic Event Trees with DEPM
  - DEPM vs Alternatives
- Conclusion
  - Limitations
  - Future Work

## Fission Batteries

- Plug-&-play nuclear reactor
- Should function just like a battery

#### Attributes

- Economical
- Standardized
- Installed
- Unattended
- Reliable



# The Dual Error Propagation Method

## Motivation

Need for accurately modeling digital I&C reliability.

- Some challenges include:
  - Exploding state-spaces
  - CCF effects
  - Hardware-Software combination failures

## What is DEPM?

- DEPM
  - is a method for mapping system states onto failure states.
  - combines two\* Markov chains.
  - splits state transitions into control flows and data paths.
  - can be used for fault injection, error propagation analysis, etc.

# A Software DEPM Example

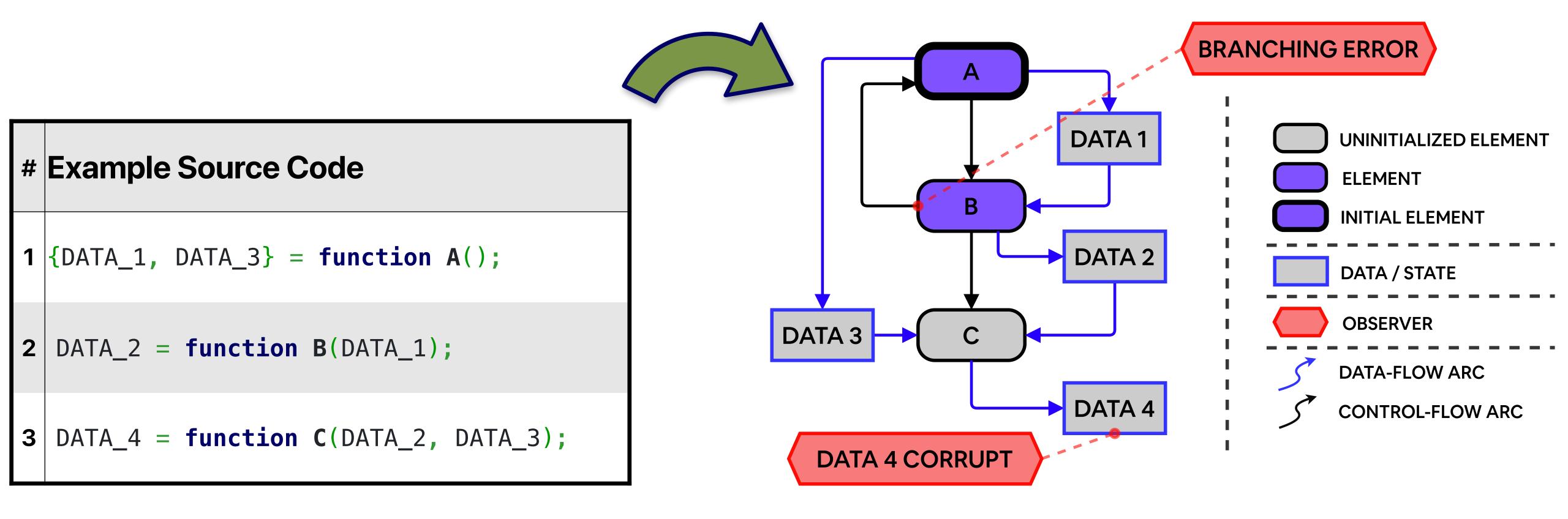
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# Example Source Code

1 {DATA_1, DATA_3} = function A();

2 DATA_2 = function B(DATA_1);

3 DATA_4 = function C(DATA_2, DATA_3);
```

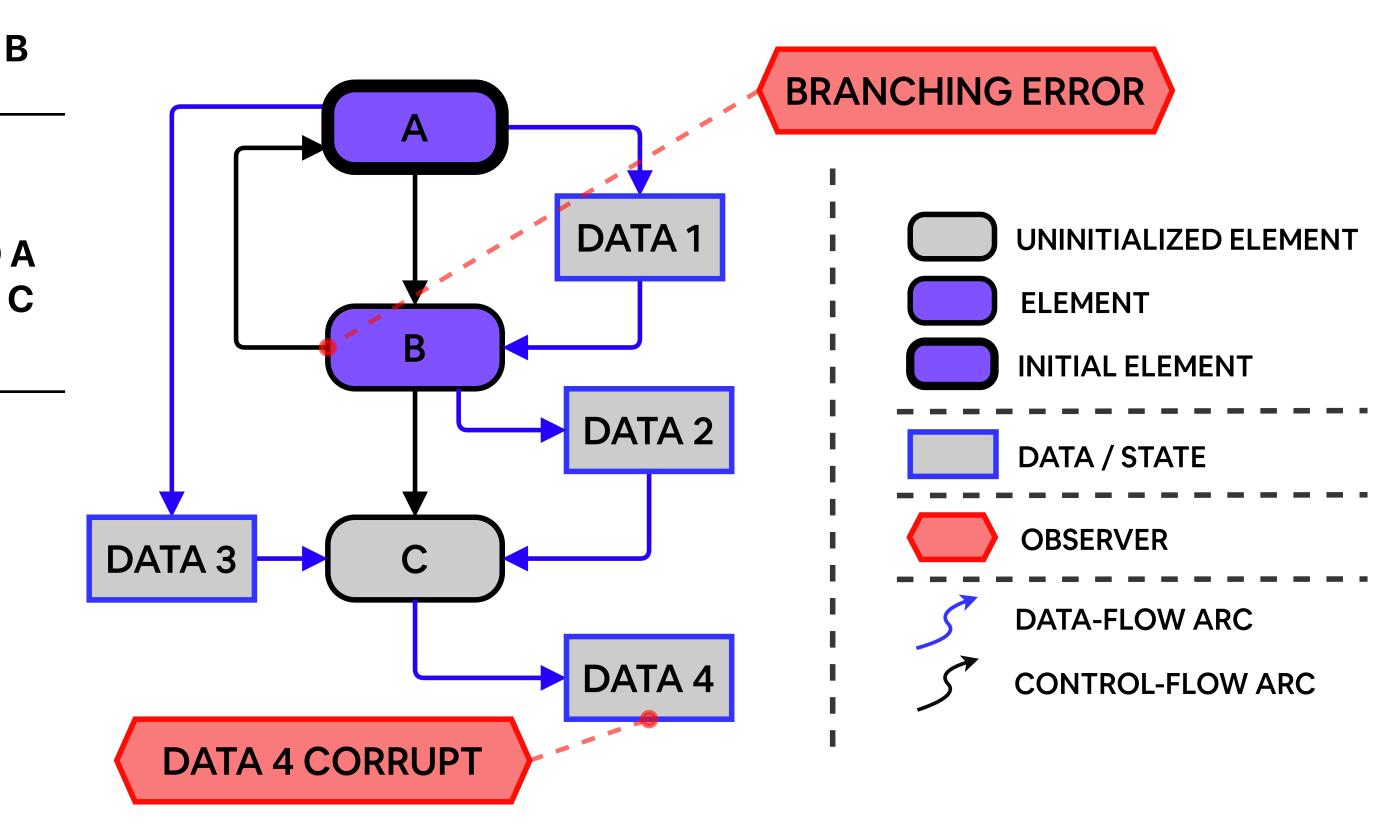
#### The Dual Error Propagation Method - Software Example



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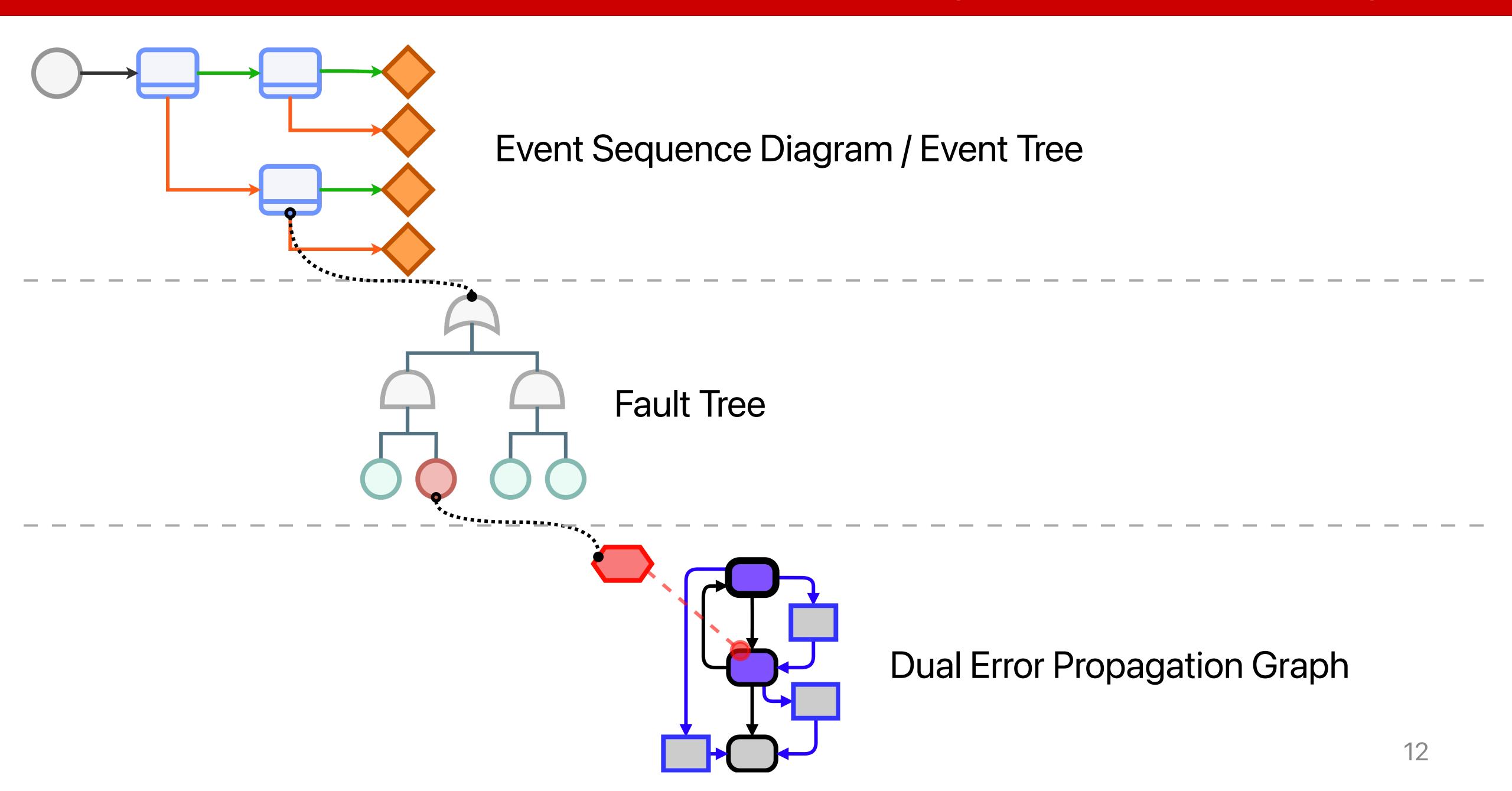
#### The Dual Error Propagation Method - Software Example

ID	Data Flow (DF)	Control Flow (CF)
Α	<ul> <li>always:</li> <li>with P(0.9): DATA 1 = ok &amp; DATA 3 = ok</li> <li>with P(0.1): DATA 1 = error &amp; DATA 3 = error</li> </ul>	always: • with P(1.0): GOTO E
В	<ul> <li>if DATA 1 = ok, then:         <ul> <li>with P(1.0): DATA 2 = ok</li> <li>else:</li> <ul> <li>with P(0.8): DATA 2 = ok</li> <li>with P(0.2): DATA 2 = error</li> </ul> </ul></li> </ul>	<ul> <li>always:</li> <li>with P(0.3): GOTO /</li> <li>with P(0.7): GOTO (</li> </ul>
C	<ul> <li>if DATA 2 = ok &amp; DATA 3 = ok, then:</li> <li>with P(1.0): DATA 4 = ok</li> <li>else:</li> <li>with P(0.8): DATA 4 = error</li> <li>with P(0.2): DATA 4 = ok</li> </ul>	



Observer Term	Logical Expression
BRANCHING ERROR	(CF = B) AND (CF' = A)
DATA 4 CORRUPT	(DATA 4) != ('OK')

#### The Dual Error Propagation Method - Integration



# Case Study

## Case Study - Scenario Description

- Wildfire
- Four Phases
  - Ignition
  - Propagation
  - Peak
  - Mitigation

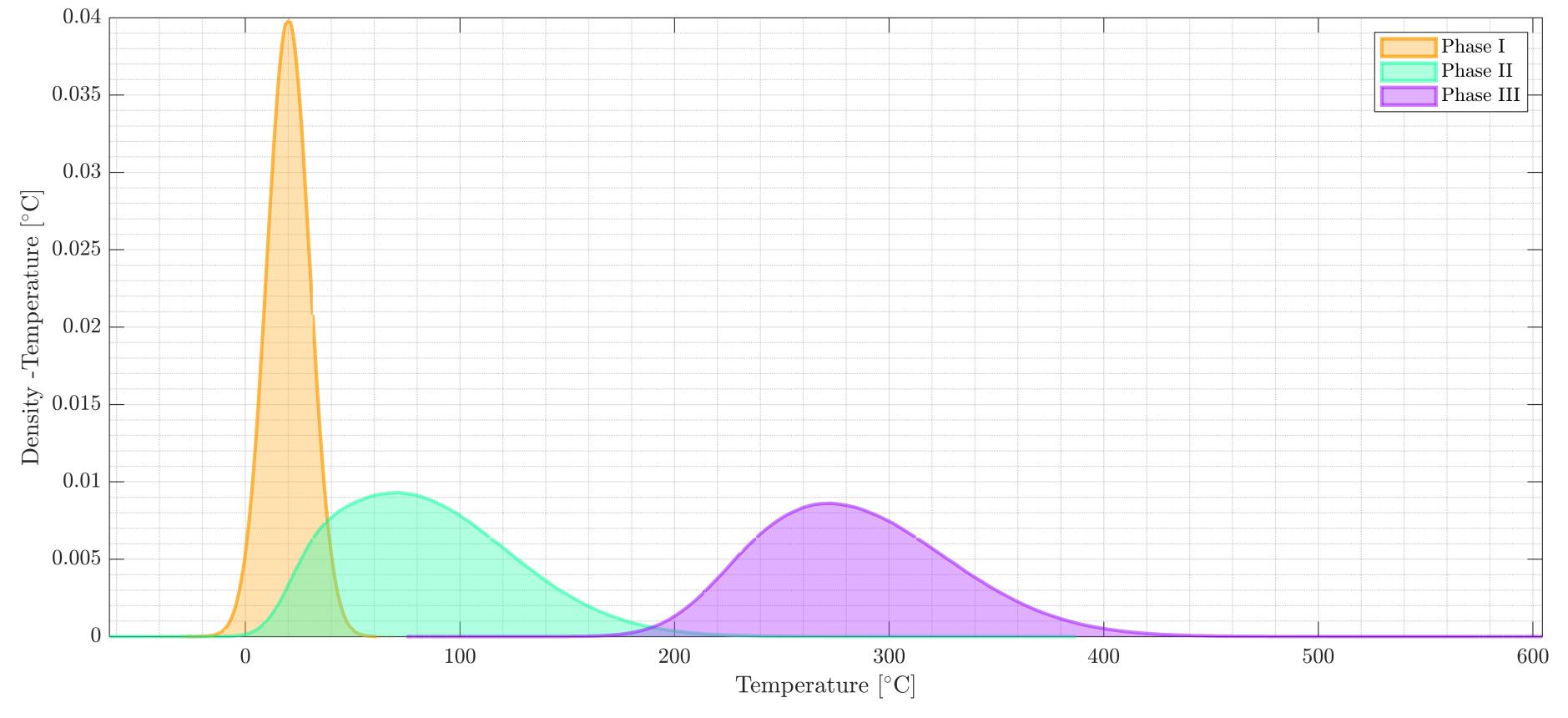


Table 4.3. Ambient temperature, phase durations and total elapsed time since ignition for fire phases I through IV.

Ø	Phase	Temperature [°C]	Phase Duration [min]	Elapsed Time $t_{\Phi_{\mathrm{i}}}\left[min ight]$	
I	Ignition	$\mathcal{N}(\mu=20~^\circ\mathrm{C}$ , $\sigma=10~^\circ\mathrm{C})$	$\mathcal{N}(\mu=120m$ , $\sigma=10m)$	0m	
II	Propagation	$\mathcal{N}(\mu=75~^{\circ}\mathrm{C}$ , $\sigma=45~^{\circ}\mathrm{C})$	$\mathcal{N}(\mu=15m$ , $\sigma=5m)$	$\mathcal{N}(\mu=120m$ , $\sigma=10m)$	
III	Peak	$\mathcal{N}(\mu=275~^{\circ}\mathrm{C}$ , $\sigma=50~^{\circ}\mathrm{C})$	$\mathcal{N}(\mu=30m$ , $\sigma=10m)$	$\mathcal{N}(\mu=135m$ , $\sigmapprox11.2m)$	
IV	Mitigation	$\mathcal{N}(\mu=20~^{\circ}\mathrm{C}$ , $\sigma=10~^{\circ}\mathrm{C})$		$\mathcal{N}(\mu=165m$ , $\sigma=15m)$	14

## Case Study - Scenario Description

- However, notification of wildfire event may be delayed
- Lagged notification is modeled as Phase Alert Delay

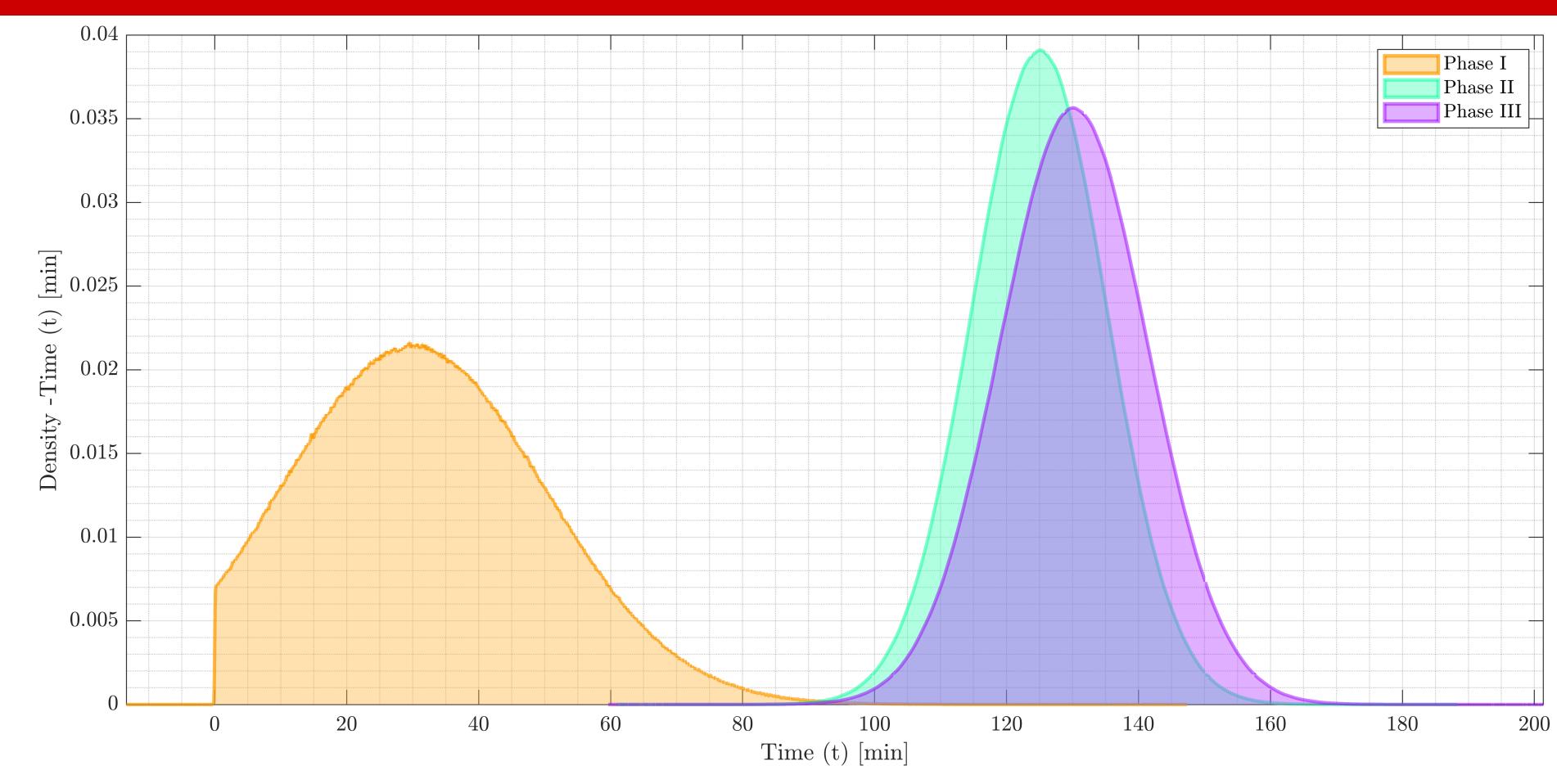


Table 4.4: Alert delays and elapsed time for fire alerts during phases I, II, and III.

Ø	Phase	Phase Alert Delay $\delta_i t$ [min]	Elapsed Time $t_{\alpha_i}[min]$	
I	Ignition	$\mathcal{N}(\mu=30\ min$ , $\sigma=20\ min)$	$\mathcal{N}(\mu=30\ min$ , $\sigma=20\ min)$	
II	Propagation	$\mathcal{N}(\mu=5\ min$ , $\sigma=2\ min)$	$\mathcal{N}(\mu=125~min$ , $\sigmapprox10.2~min)$	
III	Peak	$\mathcal{N}(\mu=10~sec$ , $\sigma=5~sec)$	$\mathcal{N}(\mu pprox 135.17~min$ , $\sigma pprox 11.1~min)$	15

# Fission Battery Response

- Graded Shutdown
- Success Criteria Target power levels and times

Table 4.2: Success Criteria Definition for Reactor Power Level Reduction Events

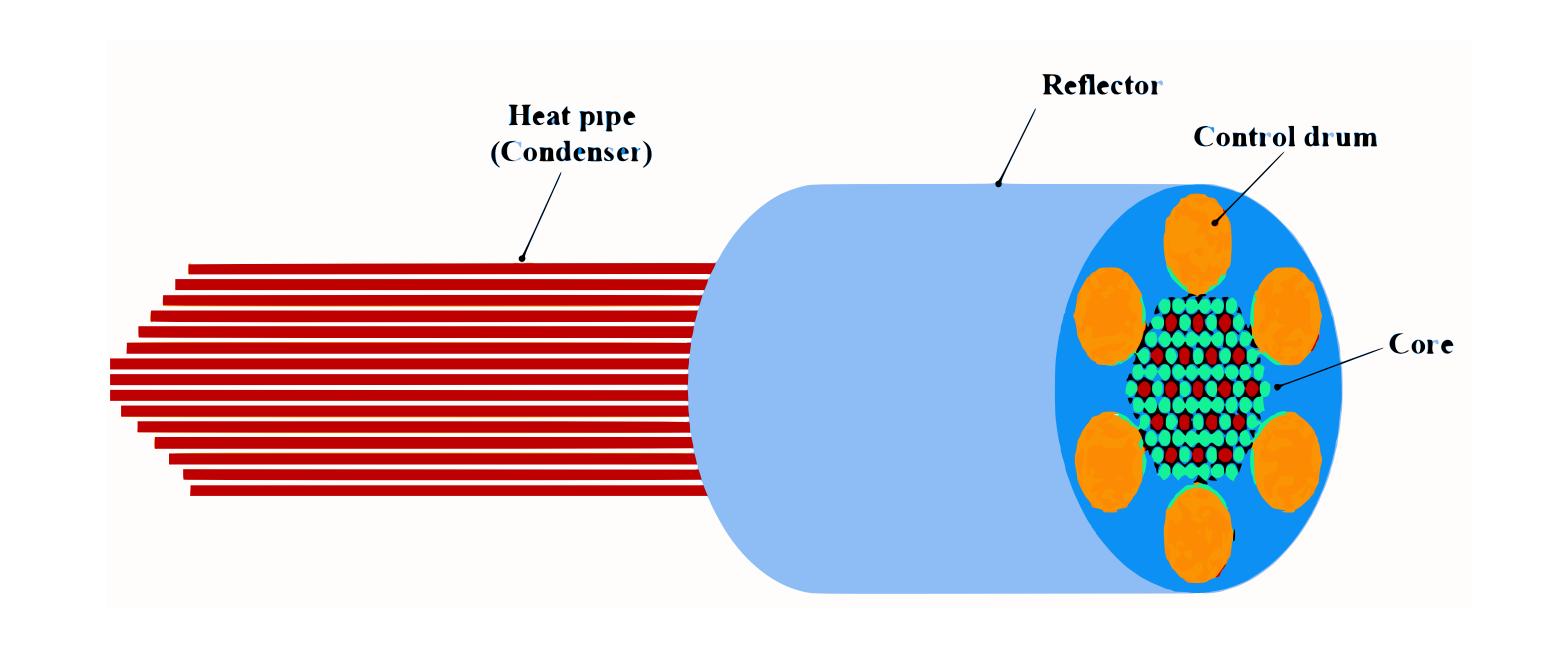
Ø	Phase	Target Power P(T <sub>i</sub> ) [%]	Power Reduction Rate $r_i$ [%/time]	Time to Target Power [duration]	Elapsed Time at Target Power <i>T<sub>i</sub></i> [ <i>min</i> ]
I	Ignition	50%	50% per hour	60 min	$\mathcal{N}(\mupprox92.8$ , $\sigmapprox17.6)$
II	Propagation	20%	6% per min	5 min	$\mathcal{N}(\mu \approx 130.0, \sigma \approx 10.2)$
III	Peak	0%	1% per sec	20 <i>sec</i>	$\mathcal{N}(\mu \approx 130.8, \sigma \approx 11.1)$

#### Graded Shutdown - Target Reactor Power Levels



# Reactor Control System (RCS)

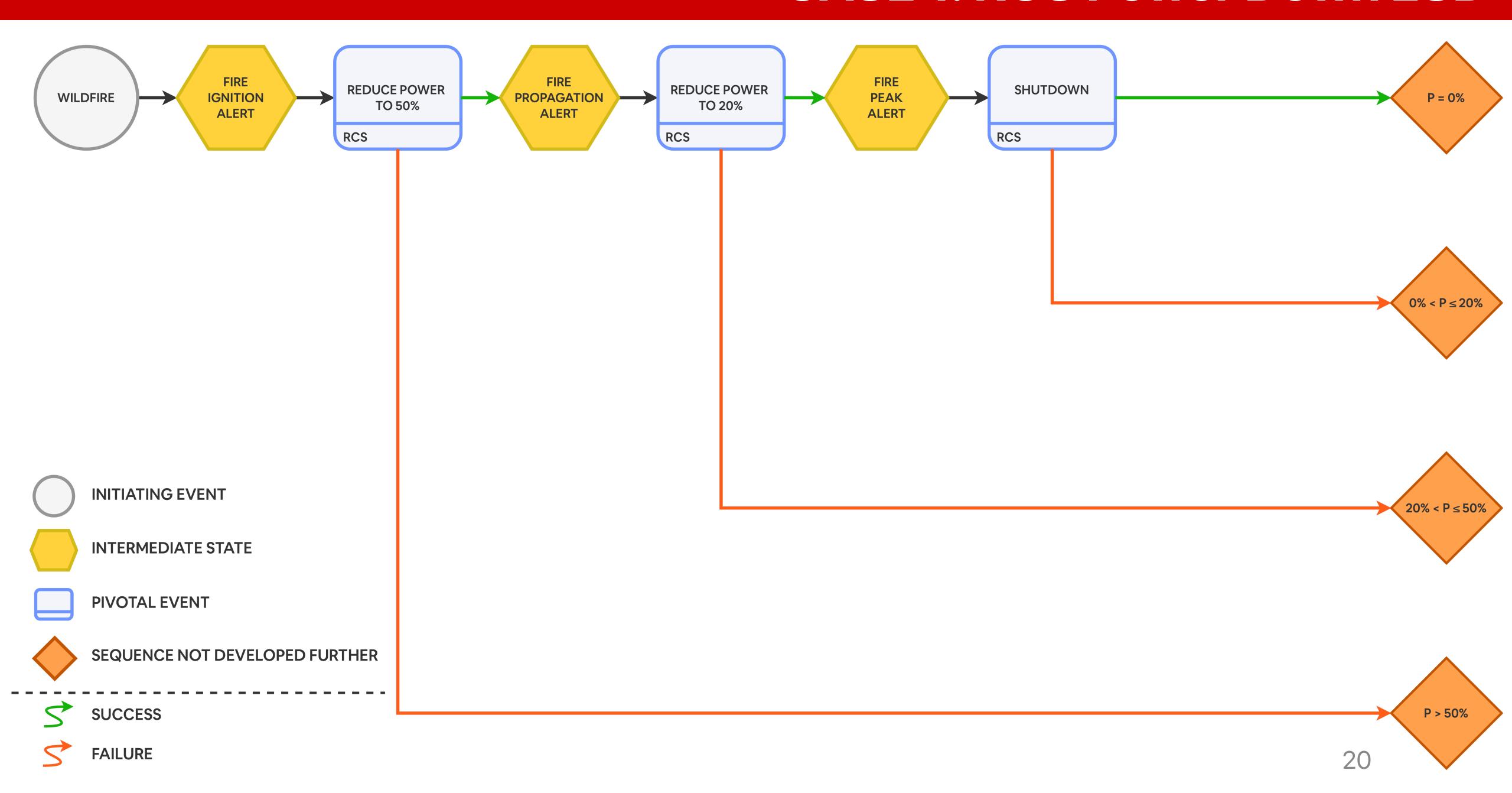
- Fully automated control
- Actuates 6 Control Drums
- Uses 3 PLCs for redundancy
- Each PLC implements a software PID loop - 6 total PID Loops



# CASE 1: Event Sequence Diagram / Fault Tree Approach

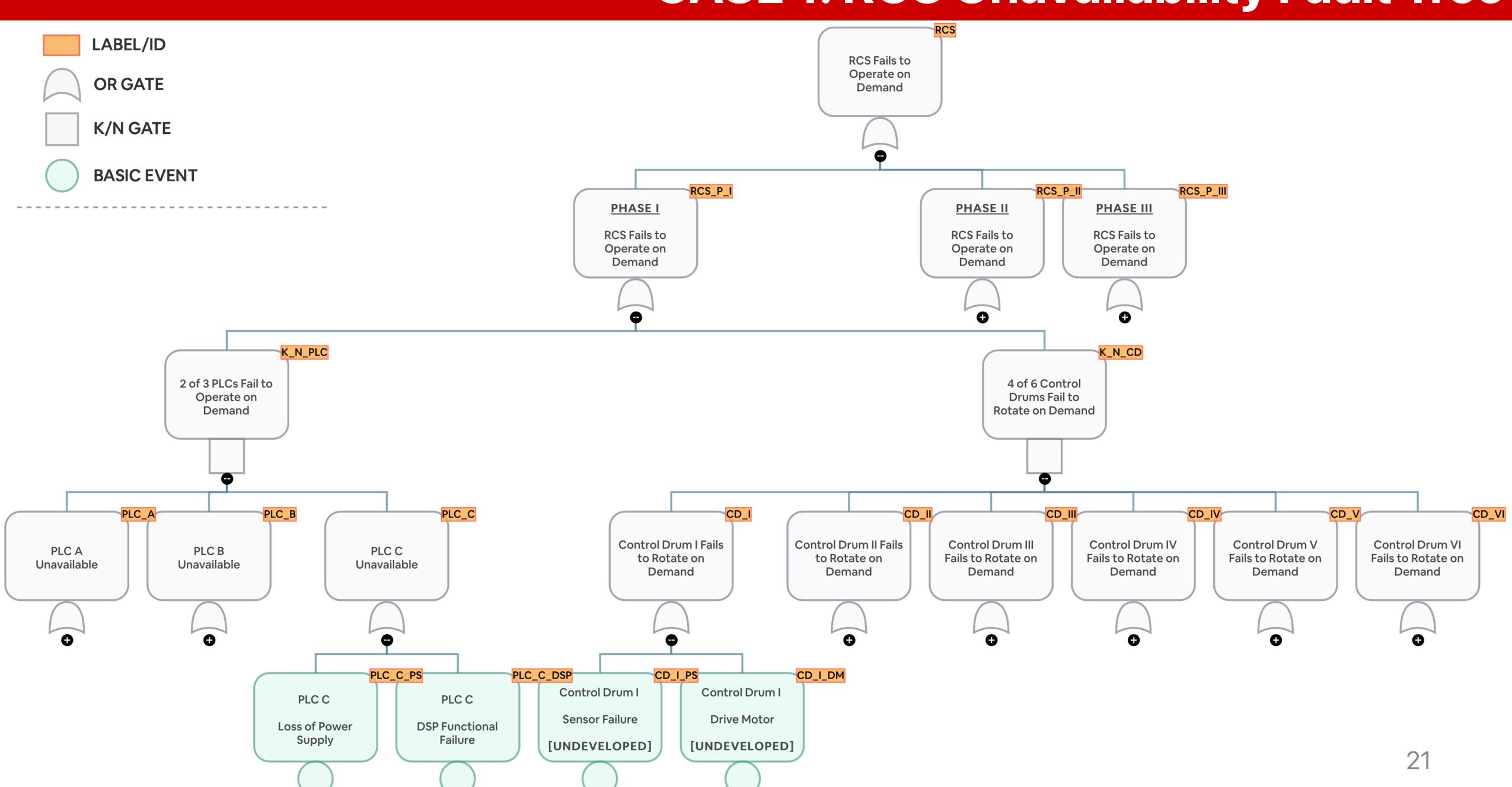
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#### CASE 1: RCS Power Down ESD



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#### CASE 1: RCS Unavailability Fault Tree



#### **CASE 1: DSP Hardware Failure Rate Estimation**

#	TMS320 Variant	Failure Rate [failures/hr]
1	C25-GBL	$1.80 \times 10^{-9}$
2	C28-343-ZFEQ	$1.82 \times 10^{-9}$
3	C55-34-AZAY10	$2.10 \times 10^{-9}$
4	C28-01-PZA	$2.26 \times 10^{-9}$
5	C67-48-EZWT4	$4.50 \times 10^{-9}$
6	C20-3PZ	$5.60 \times 10^{-9}$
7	C66-78ACYP	$6.55 \times 10^{-9}$
8	C20-6PZ80	$14.40 \times 10^{-9}$

$$\lambda_{derated} = \mathcal{N}(\mu \approx 5.89, \sigma \approx 3.50) \times 10^{-9}$$

#### **Arrhenius Model**

$$AF_{DSP} = exp\left[\left(\frac{-E_a}{k_B}\right)\left(\frac{1}{T_{test}} - \frac{1}{T_{phase}}\right)\right]$$

$$\lambda_{DSP} = AF_{DSP} \times \lambda_{derated}$$

$$E_a = \mathcal{U}(0.45, 1.00)$$

$$T_{test} = 328.15 \, K$$

#### **CASE 1: DSP Temperate Adjusted Failure Rate**

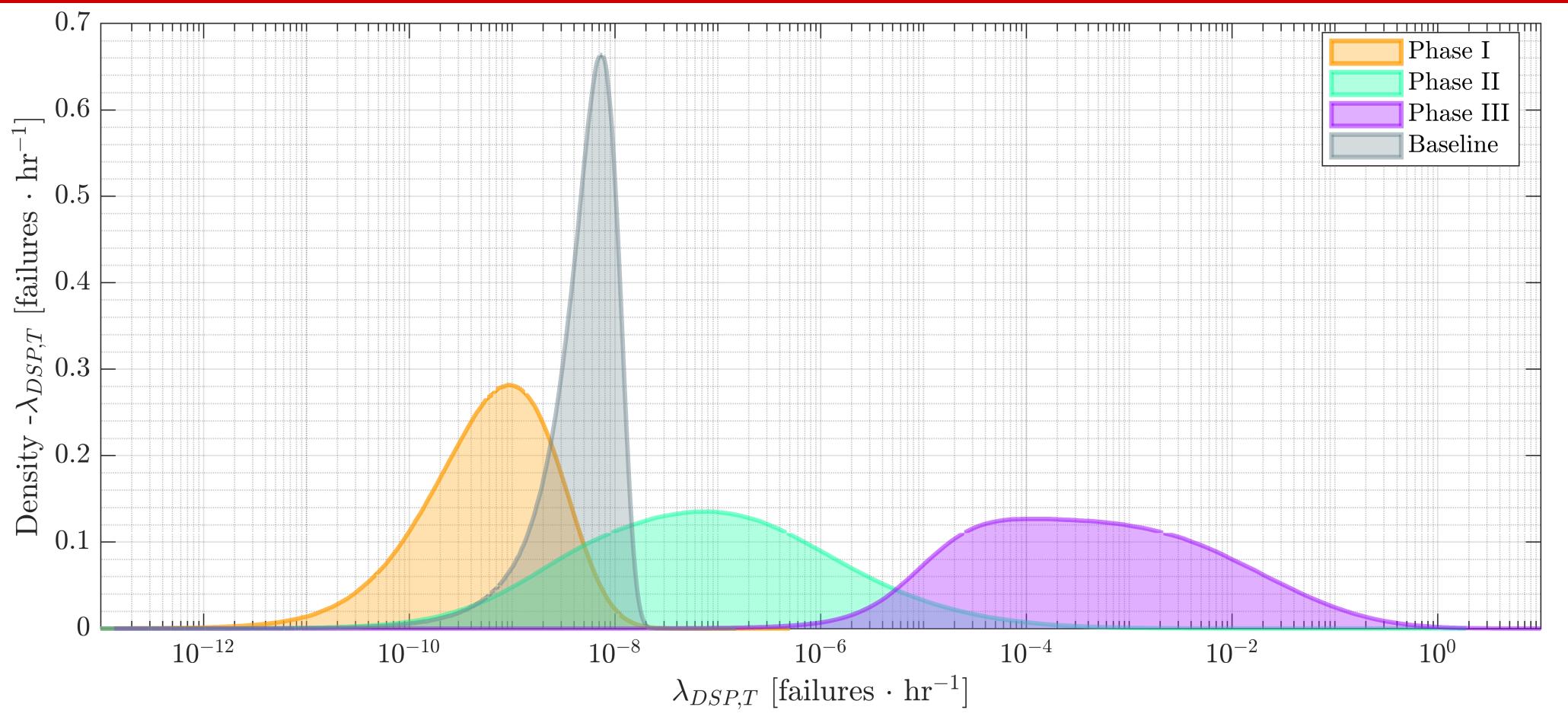


Table 4.6. Phase-dependent, temperature adjusted, digital signal processor failure rates.

Ø	Phase	Acceleration Factor AF <sub>DSP</sub>	DSP failure rate $\lambda_{DSP}$ [failures/hr]
-	Baseline	-	$\mathcal{N}(\mu \approx 5.89 \times 10^{-9}, \sigma \approx 3.50 \times 10^{-9})$
I	Ignition	$L\mathcal{N}(\overline{m}\approx 2.57\times 10^{-1}, EF\approx 7.36)$	$L\mathcal{N}(\bar{m} \approx 1.74 \times 10^{-9}, EF \approx 11.9)$
II	Propagation	$L\mathcal{N}(\overline{m} \approx 7.01 \times 10^2, EF \approx 90.2)$	$L\mathcal{N}(\overline{m} pprox 4.73  imes 10^{-6}, EF pprox 114)$
III	Peak	$L\mathcal{N}(\bar{m}\approx 2.82\times 10^6, EF\approx 73.1)$	$L\mathcal{N}(\bar{m}\approx 1.90\times 10^{-2}, EF\approx 93.7)$

#### **CASE 1: Temperature Dependent Hardware Failure Rates**

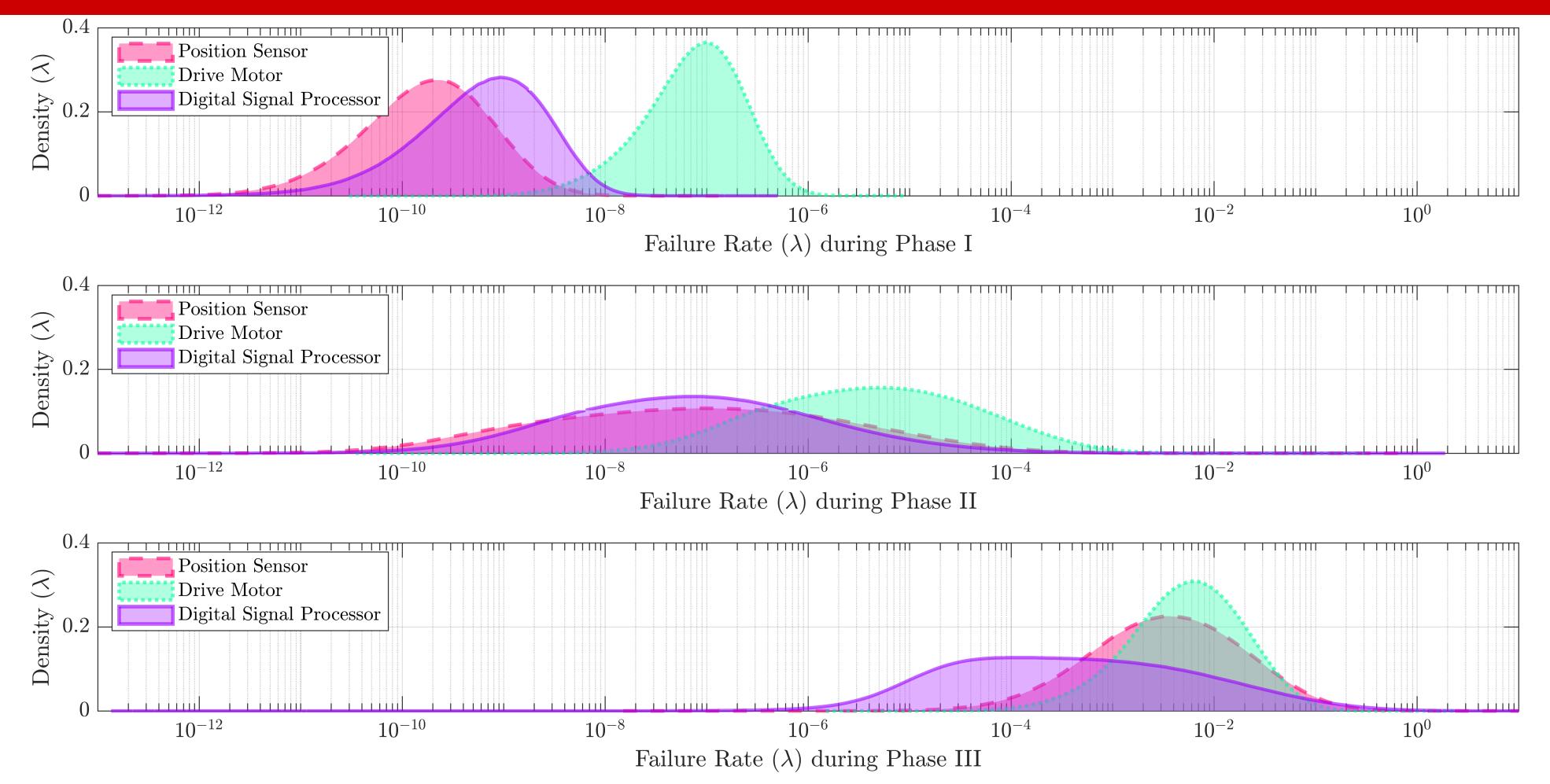


Table 4.8: Temperature Dependent Failure Rates for Programmable Logic Controller, Control Drum Failure Modes

Phase	Phase I	Phase II	Phase III
<b>Position Sensor</b>	$\mathcal{LN}(\bar{m} \approx 4.93 \times 10^{-10}, EF \approx 11.3)$	$\mathcal{LN}(\bar{m} \approx 2.26 \times 10^{-5}, EF \approx 262)$	$\mathcal{LN}(\overline{m} \approx 1.49 \times 10^{-2}, EF \approx 17.2)$
Drive Motor	$\mathcal{LN}(\bar{m} \approx 1.38 \times 10^{-7}, EF \approx 6.73)$	$\mathcal{LN}(\overline{m} \approx 6.31 \times 10^{-5}, EF \approx 47.0)$	$\mathcal{LN}(\overline{m} \approx 1.22 \times 10^{-2}, EF \approx 8.58)$
PLC DSP	$\mathcal{LN}(\bar{m} \approx 1.74 \times 10^{-9}, EF \approx 11.9)$	$\mathcal{LN}(\bar{m} \approx 4.73 \times 10^{-6}, EF \approx 114)$	$\mathcal{LN}(\overline{m} \approx 1.90 \times 10^{-2}, EF \approx 96.8)$

#### **CASE 1: Temperature Dependent Hardware Failure Rates**

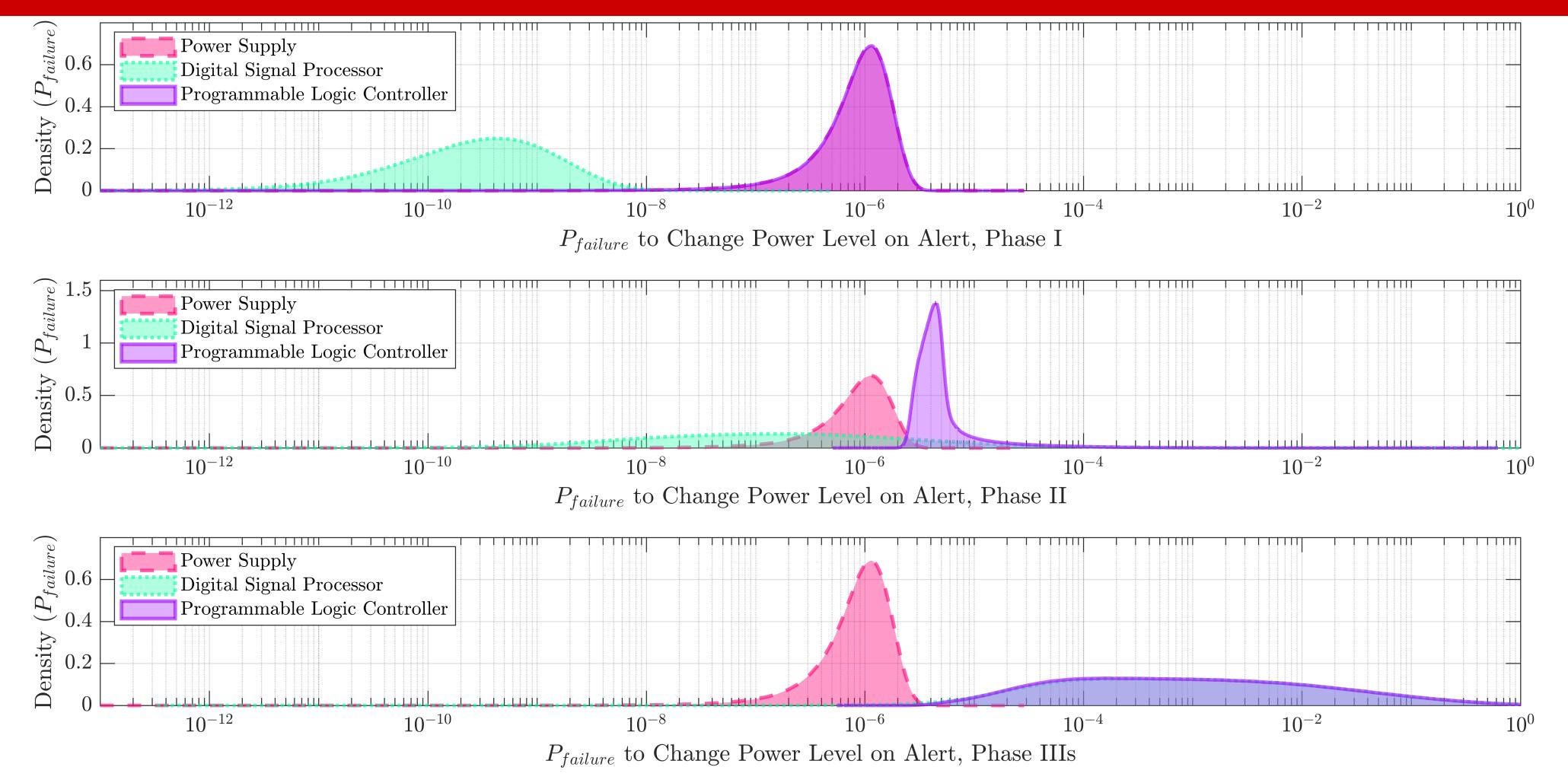


Table 4.9: Intermediate Event Probabilities, Programmable Logic Controller Failure to Change Power Level on Phase Alert

Phase	Phase I	Phase II	Phase III
PLC Failure	$\mathcal{LN}(\bar{m} \approx 1.10 \times 10^{-6}, EF \approx 3.90)$	$\mathcal{LN}(\bar{m} \approx 6.90 \times 10^{-6}, EF \approx 3.52)$	$\mathcal{LN}(\bar{m} \approx 3.19 \times 10^{-2}, EF \approx 78.2)$
Power Supply	$\mathcal{LN}(\bar{m} \approx 1.10 \times 10^{-6}, EF \approx 3.90)$	$\mathcal{LN}(\bar{m} \approx 3.77 \times 10^{-6}, EF \approx 1.41)$	$\mathcal{LN}(\bar{m} \approx 3.93 \times 10^{-6}, EF \approx 1.41)$
DSP Failure	$\mathcal{LN}(\bar{m} \approx 1.06 \times 10^{-9}, EF \approx 16.7)$	$\mathcal{LN}(\bar{m} \approx 9.86 \times 10^{-6}, EF \approx 115)$	$\mathcal{LN}(\bar{m} \approx 3.83 \times 10^{-2}, EF \approx 90.3)$

#### **CASE 1: Temperature Dependent Hardware Failure Rates**

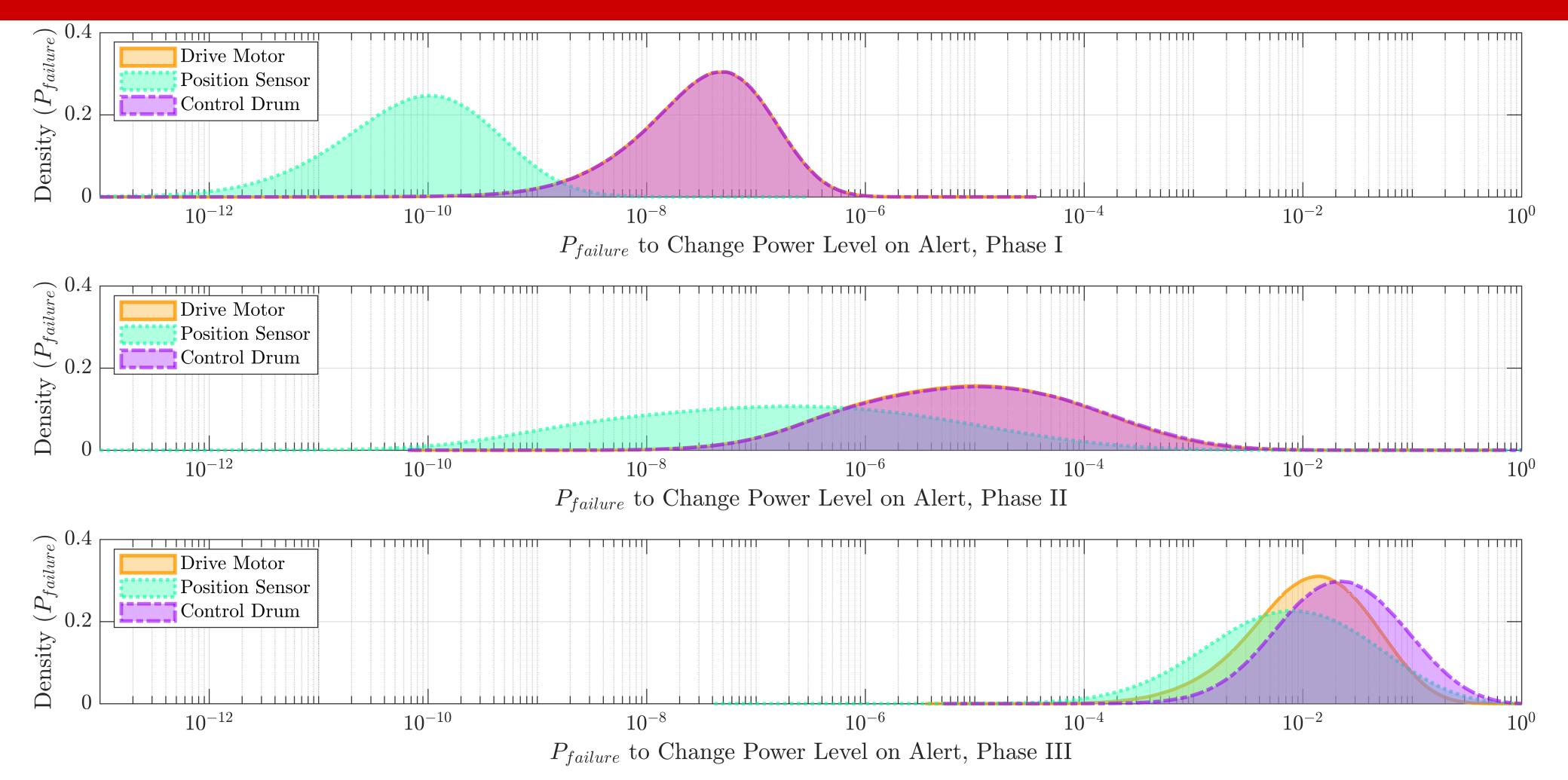


Table 4.10 Control Drum, Drive Motor, Position Sensor, failure to change power level on demand, by phase

Phase	Phase I	Phase II	Phase III
CD Failure	$\mathcal{LN}(\overline{m} \approx 8.42 \times 10^{-8}, EF \approx 10.1)$	$\mathcal{LN}(\overline{m} \approx 1.48 \times 10^{-4}, EF \approx 49.3)$	$\mathcal{LN}(\overline{m} \approx 4.95 \times 10^{-2}, EF \approx 8.48)$
Position Sensor	$\mathcal{LN}(\bar{m} \approx 3.00 \times 10^{-10}, EF \approx 15.8)$	$\mathcal{LN}(\bar{m} pprox 4.70  imes 10^{-5}, EF pprox 263)$	$\mathcal{LN}(\bar{m} \approx 3.08 \times 10^{-2}, EF \approx 16.7)$
Drive Motor	$\mathcal{LN}(\bar{m} \approx 8.41 \times 10^{-8}, EF \approx 10.2)$	$\mathcal{LN}(\bar{m} pprox 1.31  imes 10^{-4}, EF pprox 47.1)$	$\mathcal{LN}(\bar{m} \approx 2.58 \times 10^{-2}, EF \approx 8.44)$

#### **CASE 1: RCS Unavailability Fault Tree**

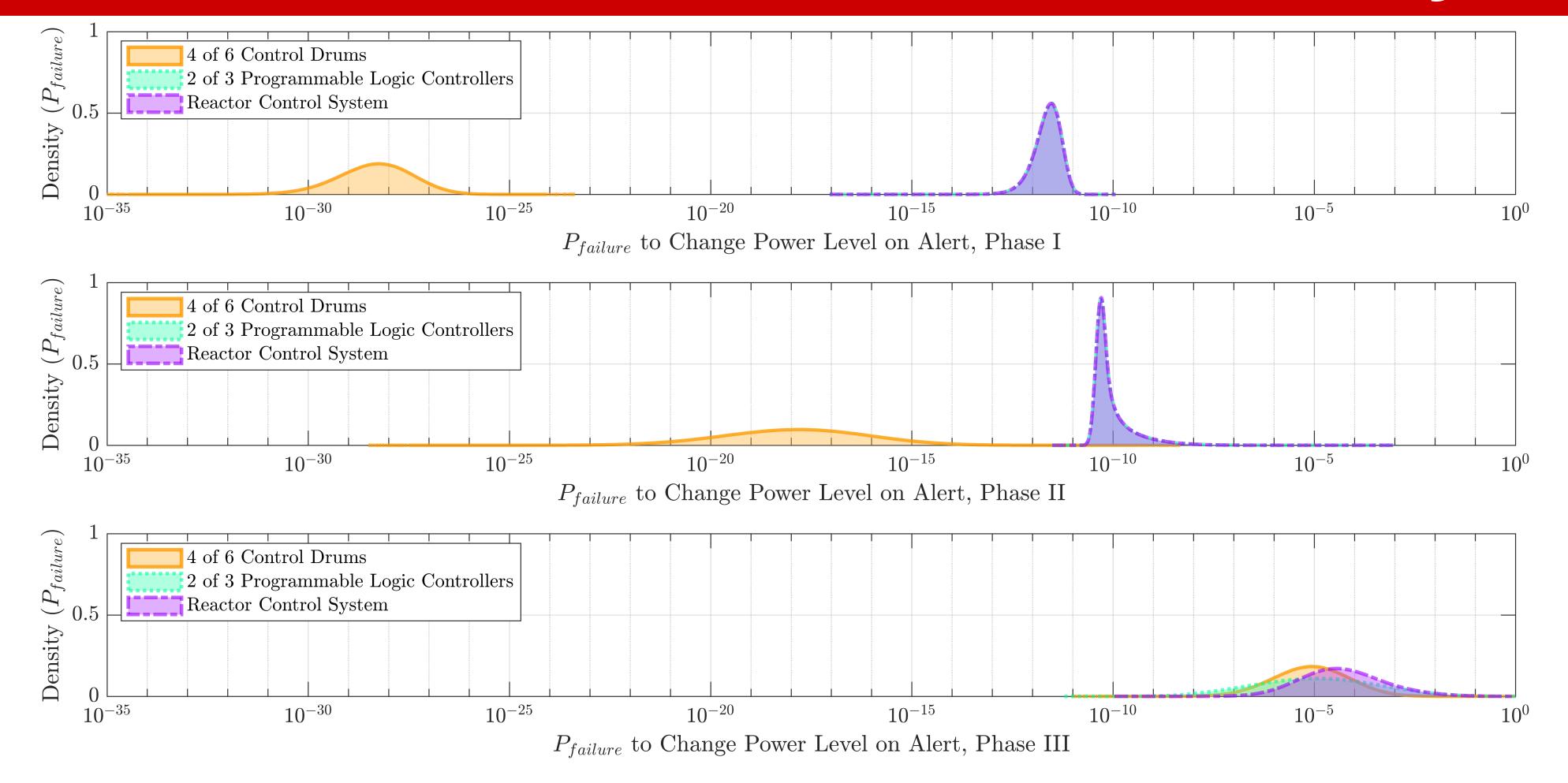


Table 4.11: Top, Intermediate Event Probabilities, Reactor Control System Failure to Change Power Level on Demand

Phase	Phase I	Phase II	Phase III
RCS Failure	$\mathcal{LN}(\bar{m} \approx 3.09 \times 10^{-12}, EF \approx 3.65)$	$\mathcal{LN}(\overline{m} \approx 1.68 \times 10^{-10}, EF \approx 6.20)$	$\mathcal{LN}(\bar{m} \approx 8.07 \times 10^{-4}, EF \approx 44.9)$
4 of 6 CDs Fail	$\mathcal{LN}(\bar{m} \approx 4.40 \times 10^{-28}, EF \approx 34.1)$	$\mathcal{LN}(\bar{m} \approx 5.34 \times 10^{-15}, EF \approx 831)$	$\mathcal{LN}(\bar{m} \approx 8.14 \times 10^{-5}, EF \approx 34.4)$
2 of 3 PLCs	$\mathcal{LN}(\bar{m} \approx 3.09 \times 10^{-12}, EF \approx 3.65)$	$\mathcal{LN}(\bar{m} \approx 1.68 \times 10^{-10}, EF \approx 6.20)$	$\mathcal{LN}(\bar{m} \approx 3.71 \times 10^{-3}, EF \approx 274)$

#### **CASE 1: RCS Power Down End-State Likelihoods**

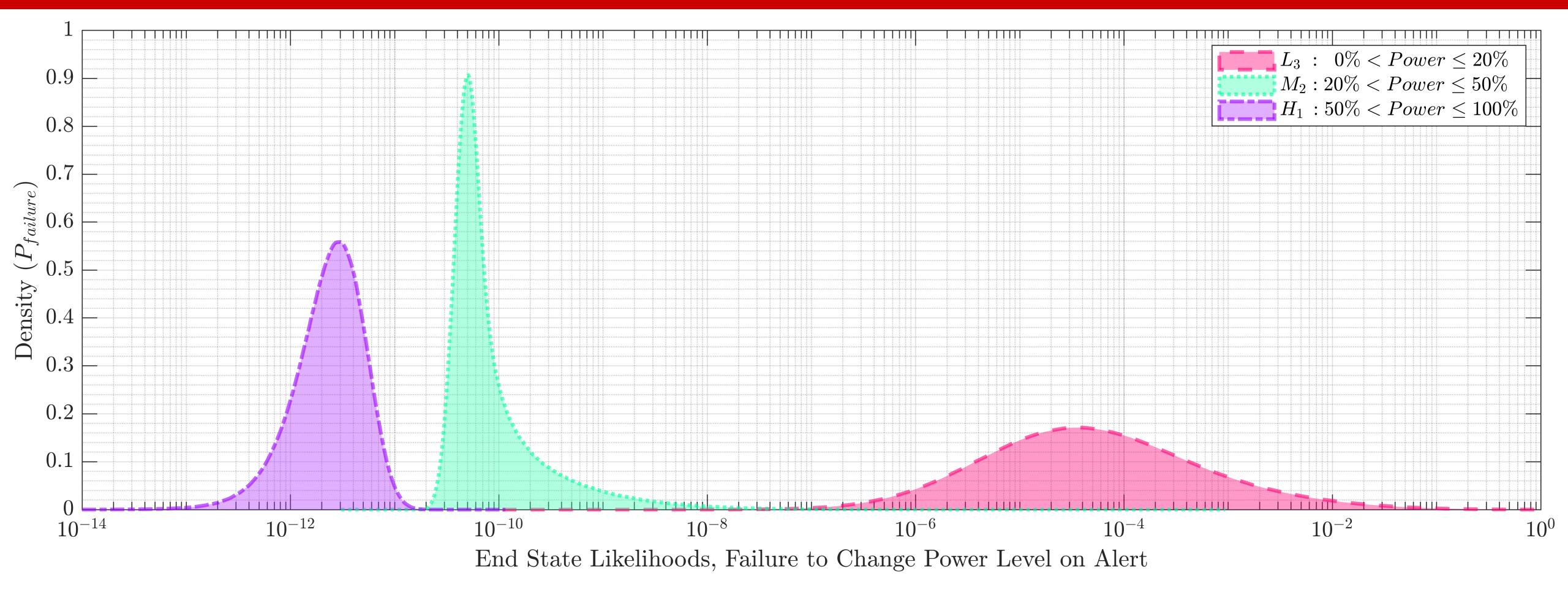
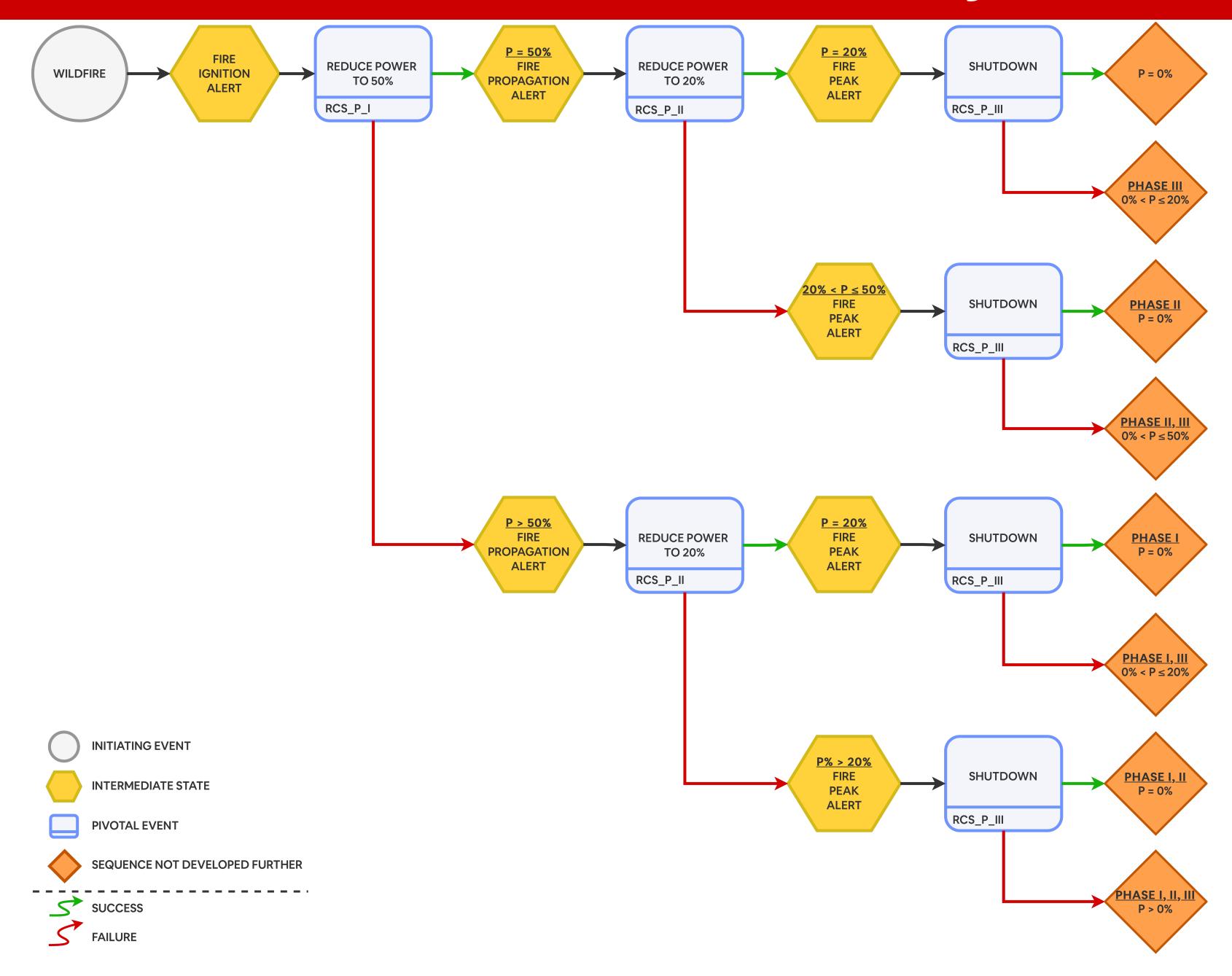


Table 4.12: Traditional PRA: Reactor power level likelihoods following shutdown, given external fire event.

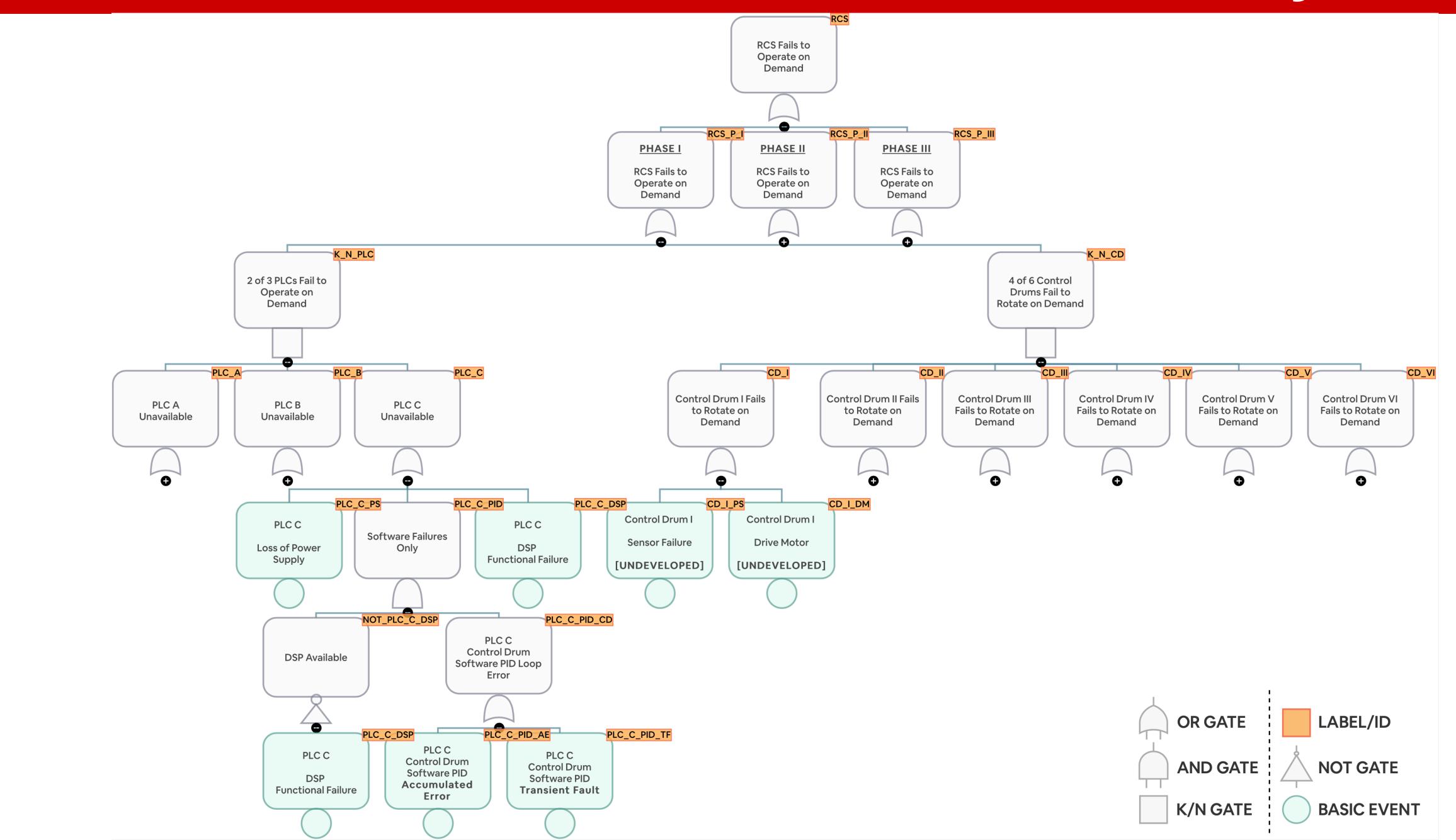
ID <sup>5</sup>	End State	P(End State)	
$S_0$	Shutdown	$\mathcal{LN}(\overline{m} \approx 9.99 \times 10^{-1}, EF \approx 1.02)$	
$L_3$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} pprox 8.07  imes 10^{-4}, EF pprox 44.9)$	
$M_2$	$20\% < Power \le 50\%$	$\mathcal{LN}(\bar{m} \approx 1.68 \times 10^{-10}, EF \approx 6.20)$	
$H_1$	$50\% < Power \le 100\%$	$\mathcal{LN}(\overline{m} \approx 3.09 \times 10^{-12}, EF \approx 3.65)$	

# CASE 2: ESD/FT with DEPM & Recoveries

#### CASE 2: Reactor Control System Power Down ESD



#### CASE 2: RCS Unavailability Fault Tree



#### **CASE 2: PID Software Failure Rate Estimation**

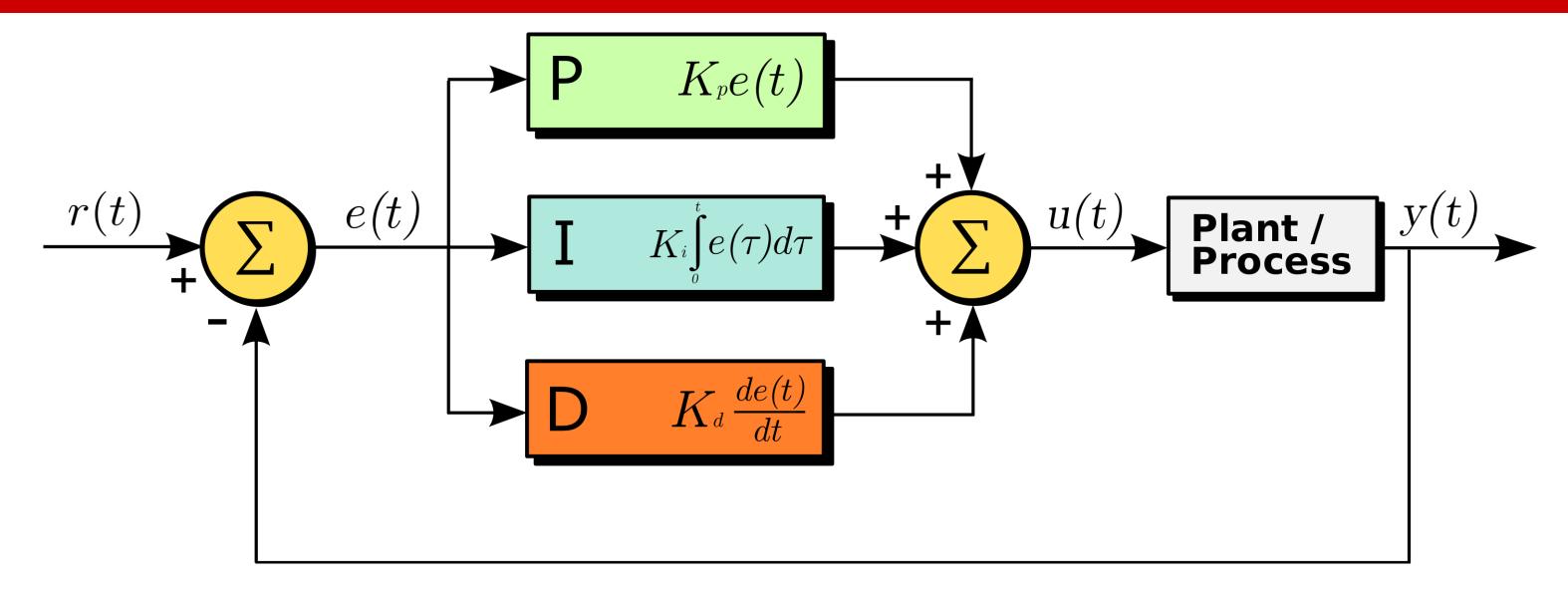
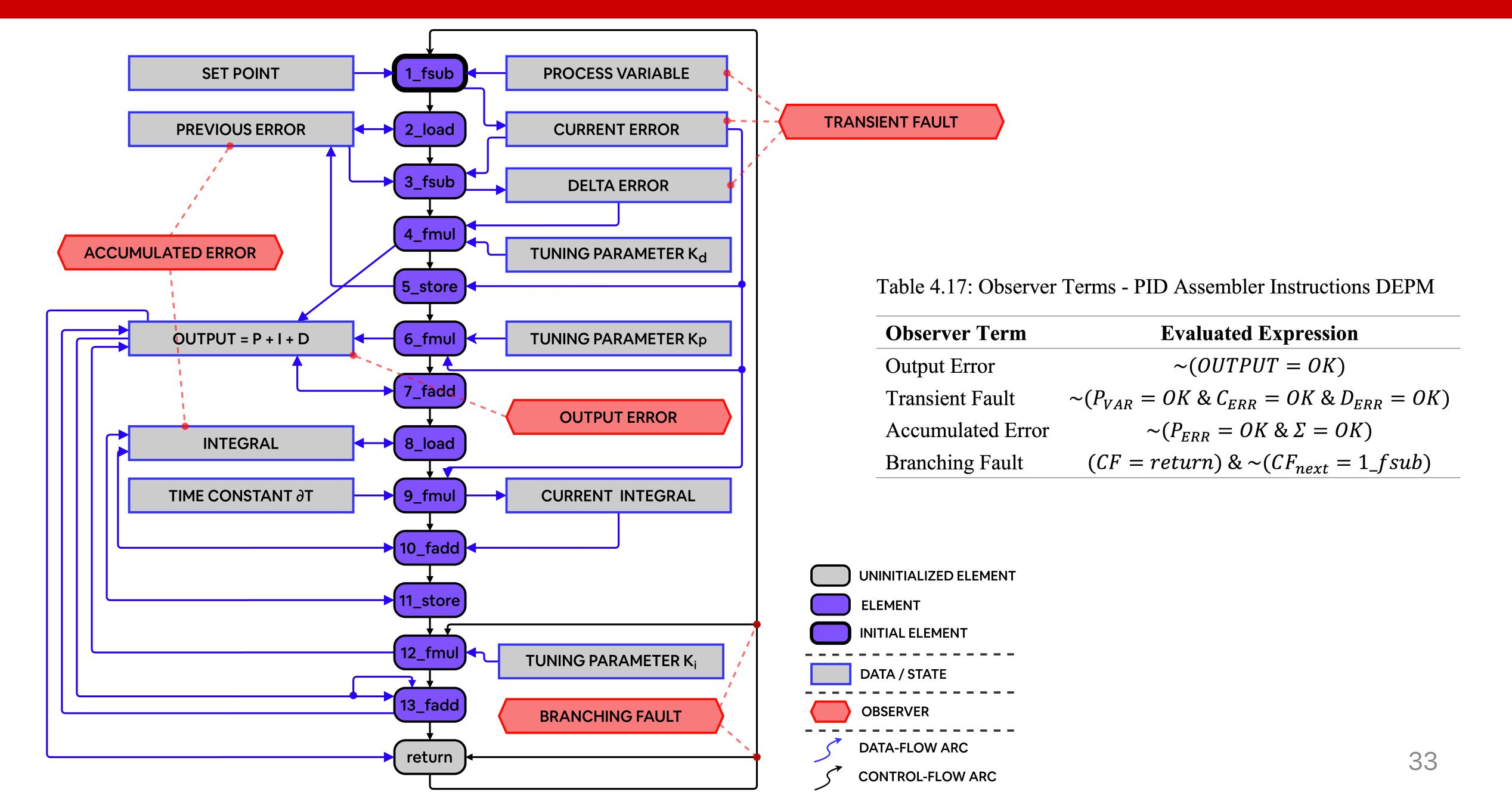


Table 4.14: Assembler code for proposed zero-order PID control algorithm.

define float @calculate(float %SET_POINT, %Pvar, %Kp, %Ki, %Kd, %dT)				
0:	$%C_{ERR}$	fsub %SET_POINT, %P <sub>VAR</sub>		
1:	$P_{\rm ERR}$	load float, float* @PERR		
2:	$D_{\rm ERR}$	fsub %C <sub>ERR</sub> , %P <sub>ERR</sub>		
3:	%OUTPUT	fmul %K <sub>d</sub> , %D <sub>ERR</sub>		
4:		store %C <sub>ERR</sub> , float* @P <sub>ERR</sub>		
5:	%tmp1	fmul $%K_p$ , $%C_{ERR}$		
6:	%OUTPUT	fadd %OUTPUT, %tmp1		
7:	$\%(\Sigma)$	load float, float* $@(\Sigma)$		
8:	$\%(\Sigma_{ m CUR})$	fmul %dT, %C <sub>ERR</sub>		
9:	$\%(\Sigma)$	fadd $\%(\Sigma)$ , $\%(\Sigma_{CUR})$		
10:		store $\%(\Sigma)$ , float* $@(\Sigma)$		
11:	$\%(\Sigma)$	fmul % $K_i$ , %( $\Sigma$ )		
12:	%OUTPUT	fadd %( $\Sigma$ ), %OUTPUT		
13:		return %OUTPUT		

#### **CASE 2: PID Software Failure Rate Estimation**



#### **CASE 2: PID Software Failure Rate Estimation**

 Calculate instruction failure rate by estimating bit error rate and adjust it for temperature dependent failure mechanisms.

$$\lambda_{BER} = AF_{DSP} \times \left(\frac{1}{2^{10}}\right) \left[\frac{\text{error}}{\text{bit}}\right] \times \left(\frac{1}{365 \times 24}\right) \left[\frac{1}{\text{hour}}\right]$$

$$\approx AF_{DSP} \times 1.12 \times 10^{-7} \left[ \frac{\text{error}}{\text{bit } \times \text{hour}} \right]$$

• Since 1 SRAM bit is implemented using 6 CMOS transistors, estimate transistor use per instruction for this CPU to get instruction failure rate  $\lambda_{op} = U_{op} \times \lambda_{BER}$ 

Table 1 16. Handreson accolomet	ing footog odingt	ad in atmostian a	raft aman mata [a		antad berubasa
Table 4.16: Hardware accelerat	ion factor adjust	ea instruction s	son error rate re	error/nour i. segm	ented by bhase.

$\lambda_{op}$	$U_{op}$	Instruction Soft Error Rate [error/hour]			
		Phase I	Phase II	Phase III	
$\lambda_{\mathrm{add}}$	6.12	$\mathcal{LN}(\overline{m} \approx 1.52 \times 10^{-8}, EF \approx 7.36)$	$\mathcal{LN}(\bar{m} \approx 1.48 \times 10^{-5}, EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 9.96 \times 10^{-3}, EF \approx 73.1)$	
$\lambda_{sub}$	6.86	$\mathcal{LN}(\overline{m} \approx 1.71 \times 10^{-8}, EF \approx 7.36)$	$\mathcal{LN}(\bar{m} \approx 1.66 \times 10^{-5}, EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 8.93 \times 10^{-3}, EF \approx 73.1)$	
$\lambda_{mul}$	11.9	$\mathcal{LN}(\overline{m} \approx 2.96 \times 10^{-8}, EF \approx 7.36)$	$\mathcal{LN}(\bar{m} \approx 2.87 \times 10^{-5} EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 1.55 \times 10^{-2}, EF \approx 73.1)$	
$\lambda_{store}$	3.90	$\mathcal{LN}(\overline{m} \approx 9.71 \times 10^{-9}, EF \approx 7.36)$	$\mathcal{LN}(\overline{m} \approx 9.42 \times 10^{-6}, EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 5.07 \times 10^{-3}, EF \approx 73.1)$	
$\lambda_{load}$	1.00	$\mathcal{LN}(\overline{m} \approx 2.86 \times 10^{-9}, EF \approx 7.36)$	$\mathcal{LN}(\overline{m} \approx 2.78 \times 10^{-6}, EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 1.49 \times 10^{-3}, EF \approx 73.1)$	
$\lambda_{return}$	0.08	$\mathcal{LN}(\bar{m} \approx 2.86 \times 10^{-9}, EF \approx 7.36)$	$\mathcal{LN}(\bar{m} \approx 2.78 \times 10^{-6}, EF \approx 90.2)$	$\mathcal{LN}(\bar{m} \approx 1.49 \times 10^{-3}, EF \approx 73.1))$	

#### **CASE 2: PID Software Failure Likelihoods**

Table 4.18: Observed Events, PID Software Failure to Change Power Level on Alert

Ø	alert $\Rightarrow P_{=?}[\lozenge^{\leq T_{alert}} \text{ Transient Fault}]^8$	alert $\Rightarrow P_{=?}[\lozenge^{\leq T_{alert}} Accumulated Error]$
I	$\mathcal{LN}(\bar{m} \approx 6.93 \times 10^{-9}, EF \approx 9.19)$	$\mathcal{LN}(\overline{m} \approx 5.85 \times 10^{-9}, EF \approx 11.0)$
II	$\mathcal{LN}(\bar{m} \approx 3.69 \times 10^{-3}, EF \approx 376)$	$\mathcal{LN}(\overline{m} \approx 1.11 \times 10^{-4}, EF \approx 127)$
III	$\mathcal{LN}(\bar{m} \approx 6.67 \times 10^{-2}, EF \approx 40.9)$	$\mathcal{LN}(\overline{m} \approx 6.43 \times 10^{-4}, EF \approx 1.42)$

#### **CASE 2: DEPM - Software PID Failure Likelihoods**

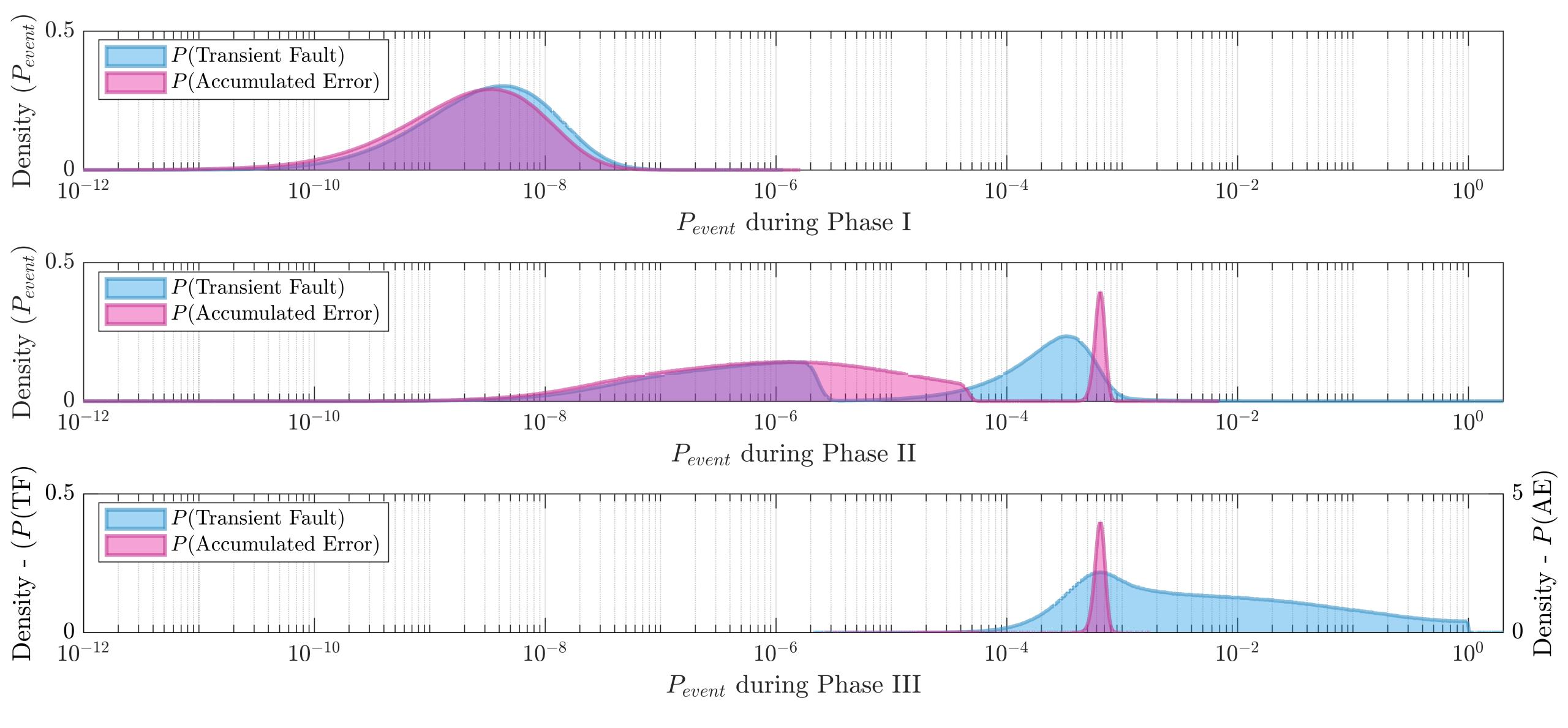


Figure 4.18: Observed Events, PID Software Failure to Change Power Level on Alert

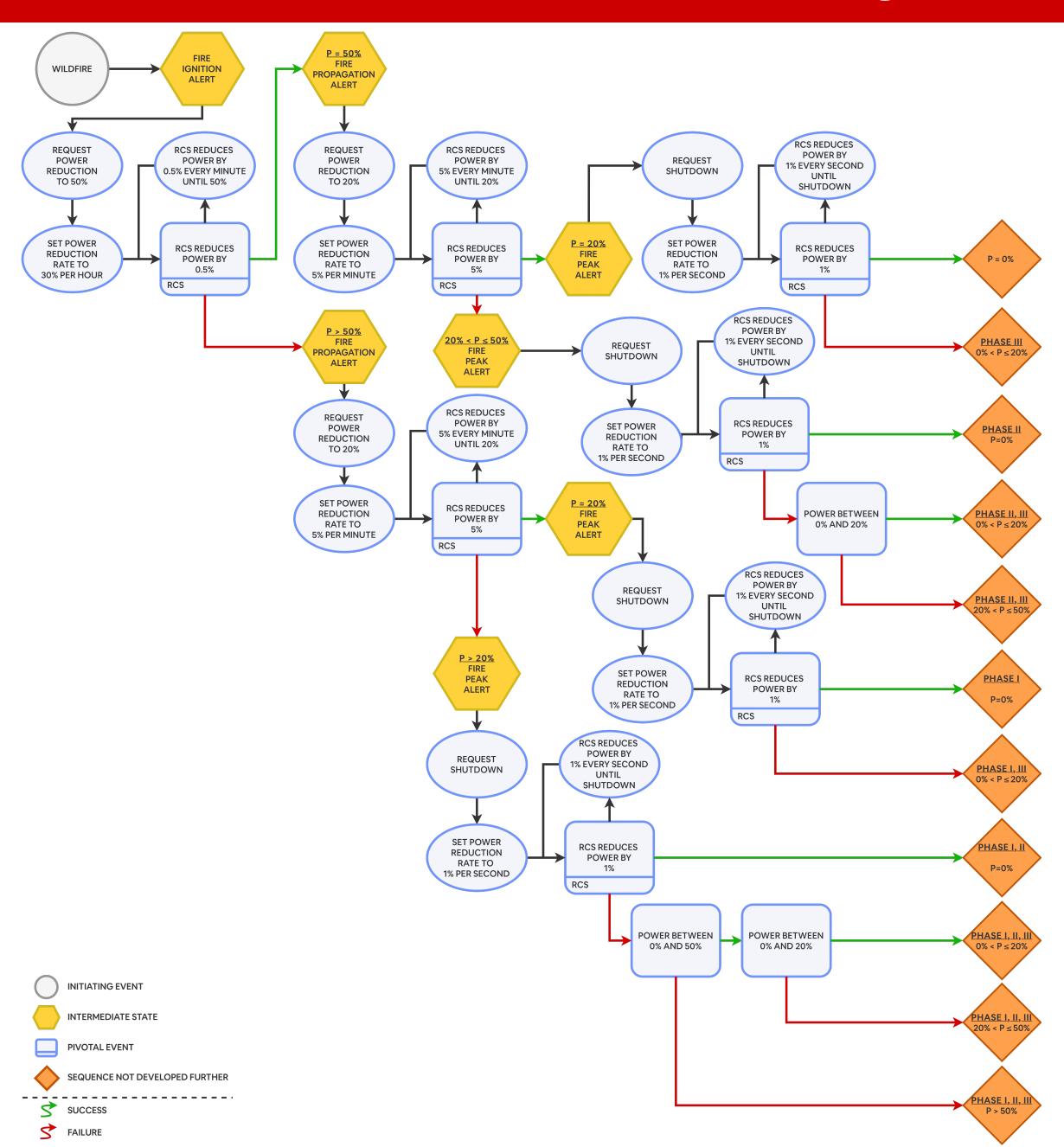
#### **CASE 2: RCS Power Down End-State Likelihoods**

Table 4.19: End State Likelihoods, Power Reduction Events for an External Fire Scenario, with Recovery

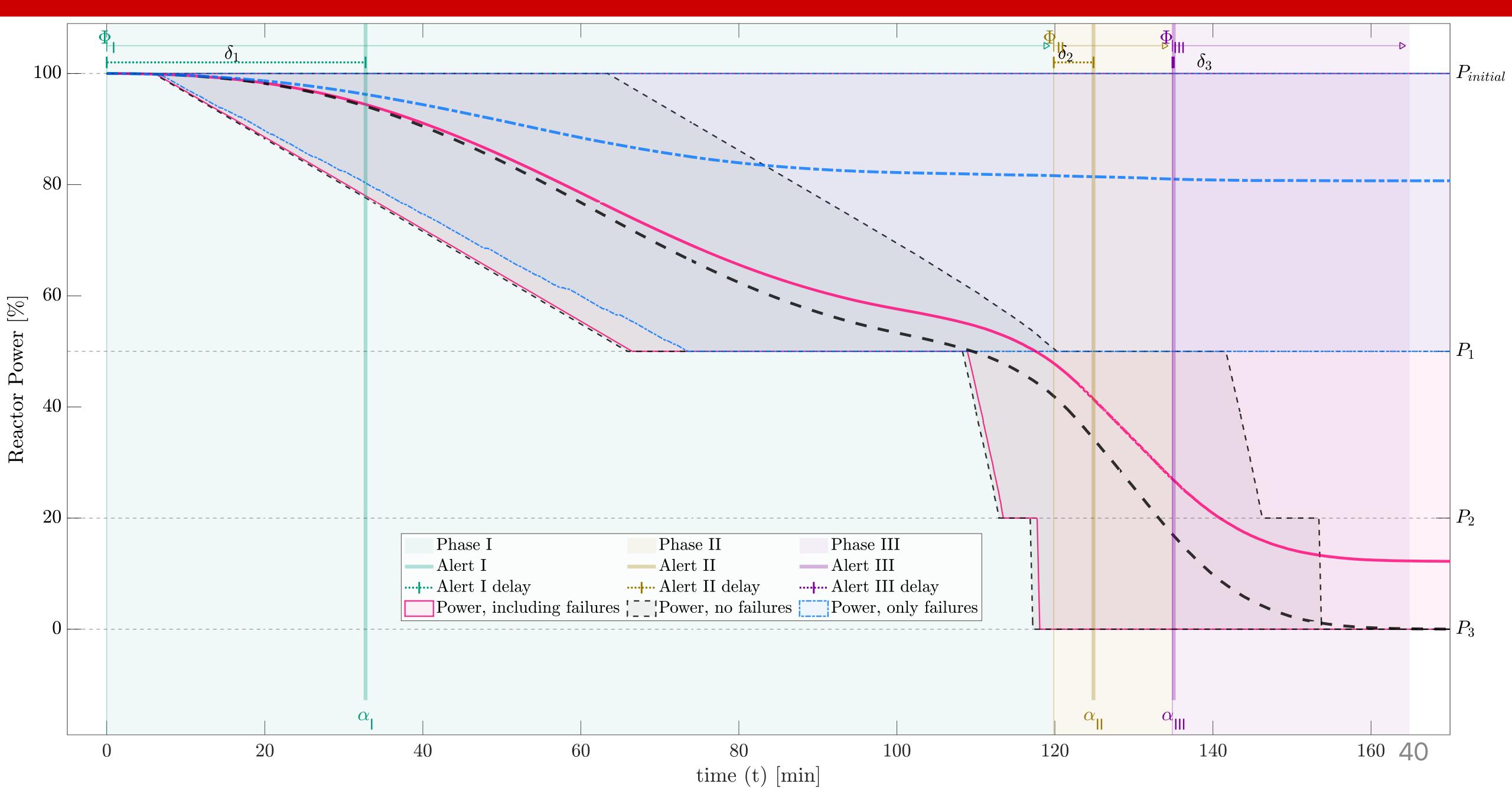
ID	Power Level	P(Power Level)	Phase I	Phase II	Phase III
$S_0$	Shutdown	$\mathcal{LN}(\bar{m} \approx 9.99 \times 10^{-1}, EF \approx 1.02)$	Success	Success	Success
$L_3$	$0\% < Power \le 20\%$	$\mathcal{LN}(\bar{m} \approx 7.17 \times 10^{-4}, EF \approx 26.8)$	Success	Success	Failure
$S_2$	Shutdown	$\mathcal{LN}(\overline{m} \approx 1.02 \times 10^{-8}, EF \approx 42.3)$	Success	Failure	Recovery
$ML_{23}$	$0\% < Power \le 50\%$	$\mathcal{LN}(\overline{m} \approx 1.05 \times 10^{-11}, EF \approx 177)$	Success	Failure	Failure
$\boldsymbol{S_1}$	Shutdown	$\mathcal{LN}(\overline{m} \approx 3.14 \times 10^{-12}, EF \approx 3.56)$	Failure	Recovery	Success
$L_{13}$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 2.26 \times 10^{-15}, EF \approx 34.0)$	Failure	Recovery	Failure
$S_{12}$	Shutdown	$\mathcal{LN}(\overline{m} \approx 3.20 \times 10^{-20}, EF \approx 52.2)$	Failure	Failure	Recovery
$HML_{123}$	Power $> 0\%$	$\mathcal{LN}(\overline{m} pprox 3.31  imes 10^{-23}, EF pprox 207)$	Failure	Failure	Failure

# **CASE 3: Integrating Dual Error Propagation into Dynamic Event Trees**

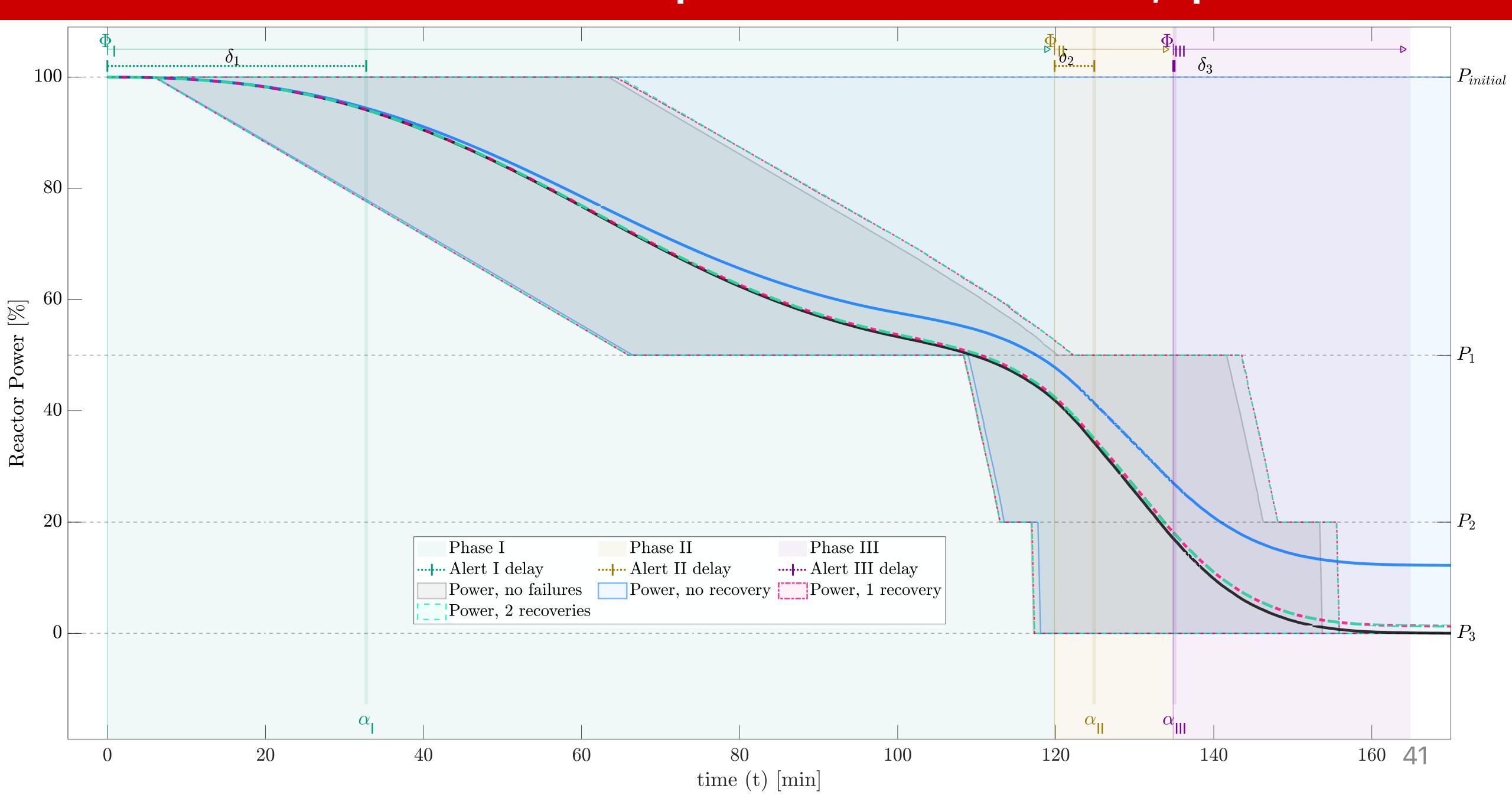
## **CASE 3: Reactor Control System Power Down ESD**



#### **CASE 3: Time Explicit Reactor Power Level, No Recoveries**



## NC STATE UNIVERSITY CASE 3: Time Explicit Reactor Power Level, upto 2 Recoveries



## **CASE 3: RCS Power Down End-State Likelihoods**

Table 4.20: End State Likelihoods, Dynamic Modeling of RCS Power Level Reduction, with Recovery

ID	Power Level	P(Power Level)	Phase I	Phase II	Phase III
$S_0$	Shutdown	$\mathcal{LN}(\bar{m} \approx 9.69 \times 10^{-1}, EF \approx 1.15)$	Success	Success	Success
$L_3$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 2.83 \times 10^{-2}, EF \approx 2.92)$	Success	Success	Failure
$S_2$	Shutdown	$\mathcal{LN}(\overline{m} \approx 4.15 \times 10^{-6}, EF \approx 20.1)$	Success	Recovery	Success
$L_{23}$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 1.16 \times 10^{-7}, EF \approx 24.2)$	Success	Failure	Recovery
$M_{23}$	$20\% < Power \le 50\%$	$\mathcal{LN}(\overline{m} \approx 3.15 \times 10^{-9}, EF \approx 28.8)$	Success	Failure	Recovery
$S_1$	Shutdown	$\mathcal{LN}(\overline{m} \approx 2.40 \times 10^{-12}, EF \approx 1.37)$	Failure	Recovery	Success
$L_{13}$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 1.98 \times 10^{-13}, EF \approx 2.01)$	Failure	Recovery	Failure
$S_{12}$	Shutdown	$\mathcal{LN}(\overline{m} \approx 1.03 \times 10^{-17}, EF \approx 20.4)$	Failure	Failure	Recovery
$L_{123}$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 1.80 \times 10^{-20}, EF \approx 6.32)$	Failure	Failure	Failure
$M_{123}$	$20\% < Power \le 50\%$	$\mathcal{LN}(\overline{m} \approx 1.64 \times 10^{-15}, EF \approx 3.58)$	Failure	Failure	Failure
$H_{123}$	$50\% < Power \le 100\%$	$\mathcal{LN}(\overline{m} \approx 1.26 \times 10^{-24}, EF \approx 79.7)$	Failure	Failure	Failure

Table 5.1: Comparative Analysis of End-State Likelihoods for Phased Reactor Shutdown on Fire Alert

	Power Level	P(Power Level)		
ID		Traditional Analysis	Dynamic Analysis	
$S_0$	Shutdown	$\mathcal{LN}(\bar{m} \approx 9.99 \times 10^{-1}, EF \approx 1.02)$	$\mathcal{LN}(\bar{m} \approx 9.69 \times 10^{-1}, EF \approx 1.15)$	
$L_3$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 7.17 \times 10^{-4}, EF \approx 26.8)$	$\mathcal{LN}(\bar{m} \approx 2.83 \times 10^{-2}, EF \approx 2.92)$	
$S_2$	Shutdown	$\mathcal{LN}(\bar{m} \approx 1.02 \times 10^{-8}, EF \approx 42.3)$	$\mathcal{LN}(\bar{m} \approx 4.15 \times 10^{-6}, EF \approx 20.1)$	
$ML_{23}$	$0\% < Power \le 50\%$	$\mathcal{LN}(\overline{m} \approx 1.05 \times 10^{-11}, EF \approx 177)$	$\mathcal{LN}(\bar{m} \approx 1.27 \times 10^{-7}, EF \approx 93.6)$	
$S_1$	Shutdown	$\mathcal{LN}(\overline{m} \approx 3.14 \times 10^{-12}, EF \approx 3.56)$	$\mathcal{LN}(\bar{m} \approx 2.40 \times 10^{-12}, EF \approx 1.37)$	
$L_{13}$	$0\% < Power \le 20\%$	$\mathcal{LN}(\overline{m} \approx 2.26 \times 10^{-15}, EF \approx 34.0)$	$\mathcal{LN}(\overline{m} \approx 1.98 \times 10^{-13}, EF \approx 2.01)$	
$S_{12}$	Shutdown	$\mathcal{LN}(\overline{m} \approx 3.20 \times 10^{-20}, EF \approx 52.2)$	$\mathcal{LN}(\overline{m} \approx 1.03 \times 10^{-17}, EF \approx 20.4)$	
$HML_{123}$	Power $> 0\%$	$\mathcal{LN}(\overline{m} \approx 3.31 \times 10^{-23}, EF \approx 207)$	$\mathcal{LN}(\overline{m} \approx 1.63 \times 10^{-15}, EF \approx 3.58)$	

# But How Does DEPM Compare?

## **DEPM Comparison**

- Use the ESD from CASE 1 and FT from CASE 2.
- Replace PID software failure DEPM basic event with alternative models.
- Re-run CASE 1 analysis for each model.

$$M_A := \text{No Model}$$
 $M_B := e^{(\lambda_{BER}, t)}$ 
 $M_C := e^{(AF_{DSP} \times \lambda_{BER}, t)}$ 
 $M_D := DEPM\ CTMC$ 

Table 5.2 PLC PID software failure model contribution to Reactor Control System unavailability

Phase	Phase I	Phase II	Phase III
No Model	$\mathcal{LN}(\bar{m} \approx 3.09 \times 10^{-12}, EF \approx 3.65)$	$\mathcal{LN}(\overline{m} \approx 1.68 \times 10^{-10}, EF \approx 6.20)$	$\mathcal{LN}(\bar{m} \approx 8.07 \times 10^{-4}, EF \approx 44.9)$
$e^{(\lambda_{BER}, t)}$	$\mathcal{LN}(\bar{m} \approx 3.48 \times 10^{-12}, EF \approx 3.63)$	$\mathcal{LN}(\bar{m} \approx 1.80 \times 10^{-10}, EF \approx 5.97)$	$\mathcal{LN}(\bar{m} \approx 8.07 \times 10^{-4}, EF \approx 44.9)$
$e^{(AF_{DSP} \times \lambda_{BER},t)}$	$\mathcal{LN}(\bar{m} \approx 3.18 \times 10^{-12}, EF \approx 3.65)$	$\mathcal{LN}(\bar{m} \approx 1.58 \times 10^{-8}, EF \approx 45.9)$	$\mathcal{LN}(\bar{m} \approx 1.99 \times 10^{-1}, EF \approx 89.3)$
DEPM CTMC	$\mathcal{LN}(\bar{m} \approx 3.14 \times 10^{-12}, EF \approx 3.56)$	$\mathcal{LN}(\bar{m} \approx 4.37 \times 10^{-7}, EF \approx 57.5)$	$\mathcal{LN}(\bar{m} \approx 1.92 \times 10^{-2}, EF \approx 38.4)$

## **DEPM Comparison Results**

**Figure 5.1** Reactor control system failure density estimates with alternative PID software failure model  $M_A$ : Software Failures Ignored Density  $M_B$ : Exponential Model  $M_C$ : Temperature Adjusted Exponential  $M_D: \mathrm{DEPM}\ \mathrm{CTMC}$  $10^{-12}$  $10^{-14}$  $10^{-10}$  $10^{-8}$  $10^{-2}$  $10^{-6}$  $10^{-4}$  $10^{0}$  $P_F(RCS - Phase I)$  $M_A$ : Software Failures Ignored Density  $M_B$ : Exponential Model  $M_C$ : Temperature Adjusted Exponential  $M_D: \mathrm{DEPM}\ \mathrm{CTMC}$  $10^{-10}$  $10^{-12}$  $10^{-8}$  $10^{-14}$  $10^{-2}$  $10^{-4}$  $10^{-6}$  $P_F(RCS - Phase II)$  $M_A$ : Software Failures Ignored  $M_B$ : Exponential Model  $M_C$ : Temperature Adjusted Exponential  $M_D: \mathrm{DEPM}\ \mathrm{CTMC}$  $10^{-10}$  $10^{-12}$  $10^{-14}$  $10^{-4}$  $10^{-8}$  $10^{-6}$  $10^{-2}$  $10^{0}$ 46  $P_F(RCS - Phase III)$ 

## **Future Work**

- Model Verification and Validation
- Automated Model Generation
- Incorporate Human Actions
- Expand the Case Studies
- Extend Applications

# Thank You